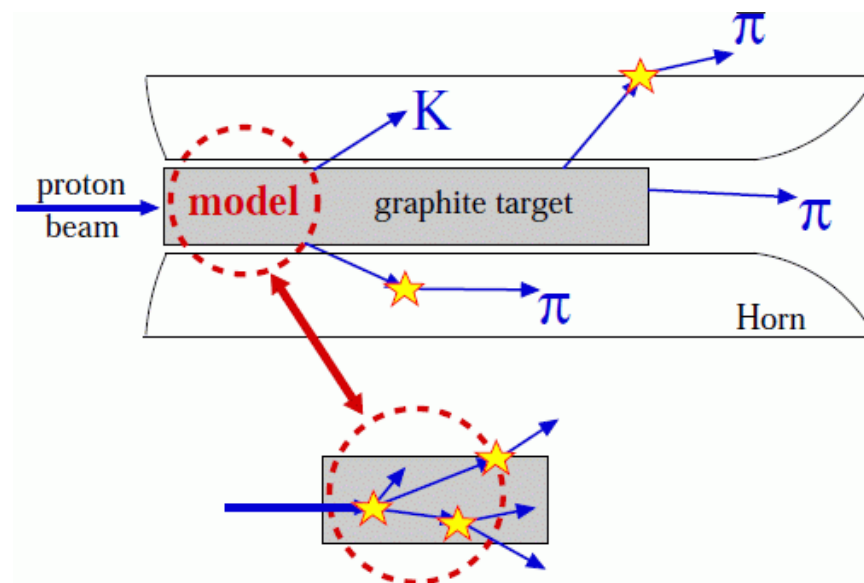




# Hadron production experiments to constrain the neutrino beam

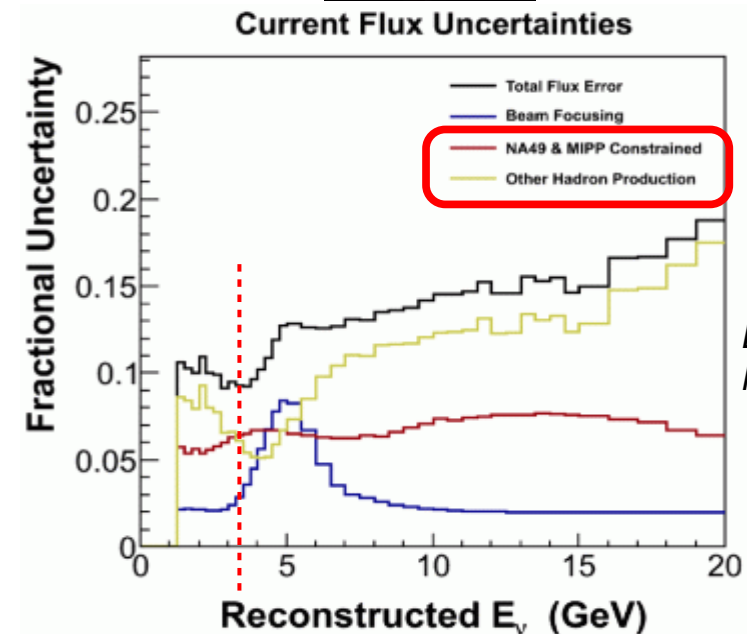
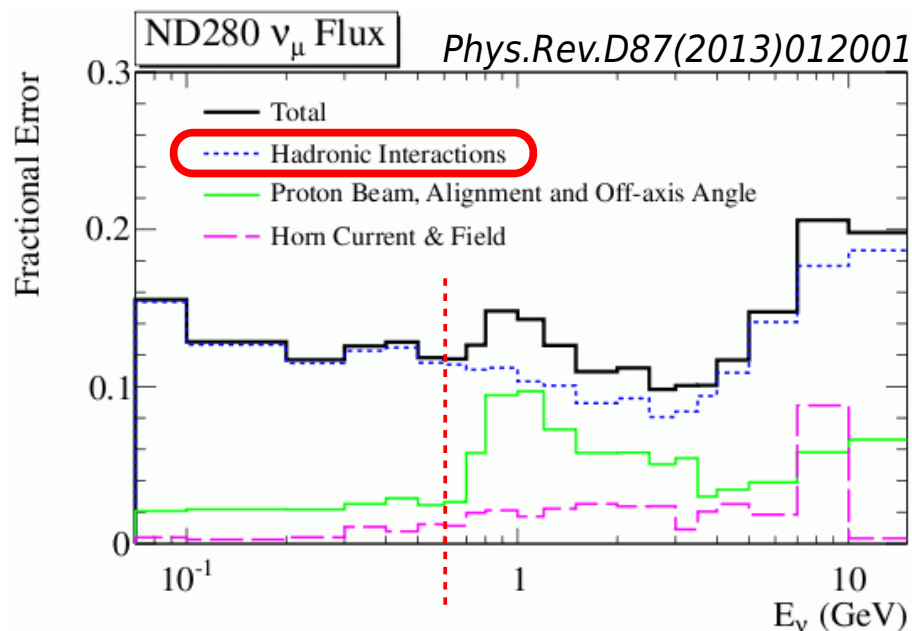
Alexander Korzenev,  
University of Geneva

*Neutrino 2014  
Boston USA, June 6*



- Why hadron production measurements?
- Approaches for the  $\nu$  flux constraint:
  - ♦ Re-weighting at the interaction vertex
  - ♦ The actual target measurements

# Motivation for an ancillary hadron production experiment



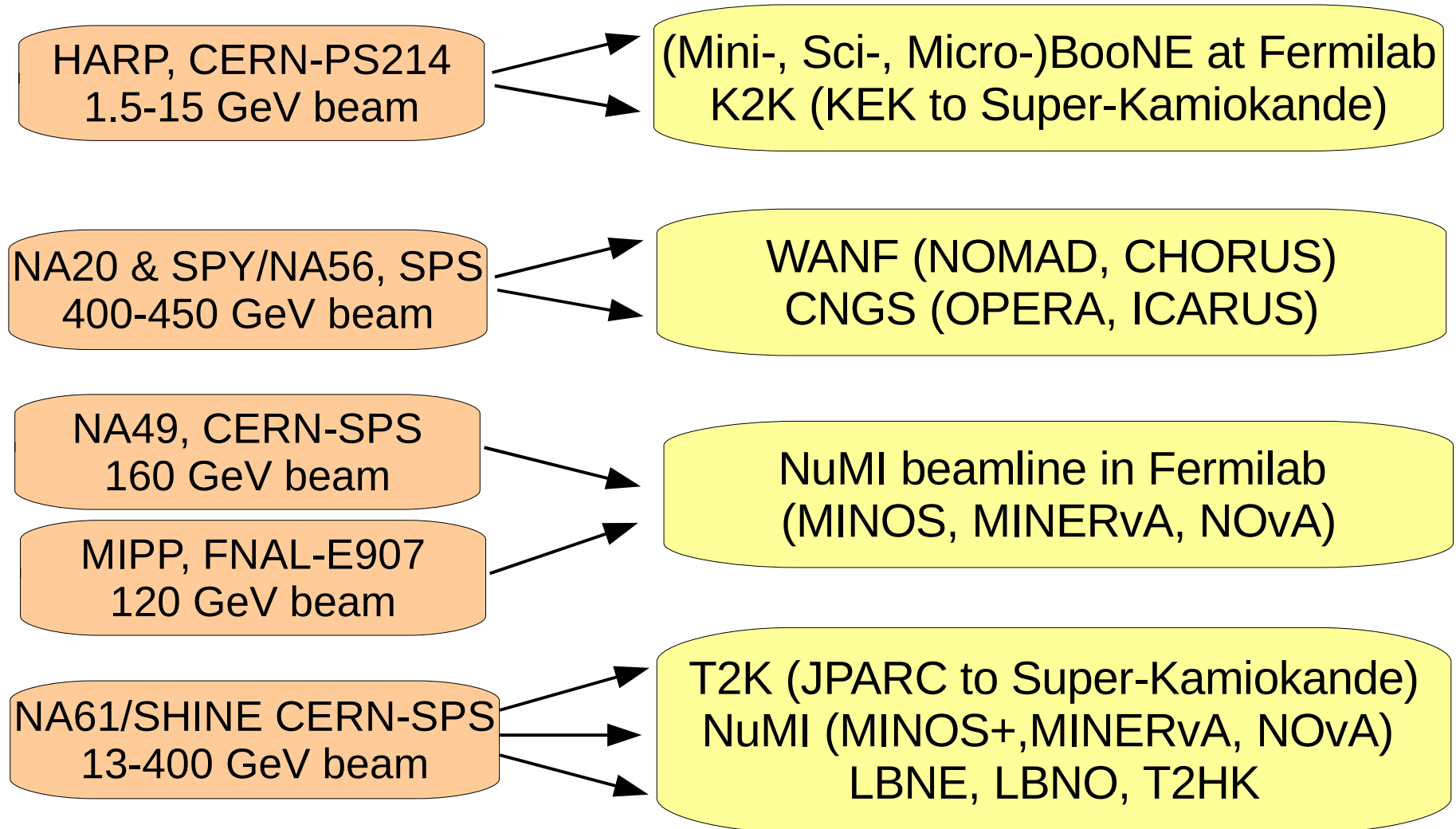
*D.Harris  
NuInt14*

- Uncertainty on the neutrino flux is a dominant contribution to systematics of measurements: 10 – 20 %
- Uncertainty on hadronic interactions is dominant contribution to the flux uncertainty

## ***Few examples***

### Hadron production experiments

### neutrino experiments



*Normally results of several hadron production experiments are used*

# Approaches for the $\nu$ flux constraint



1) Traditional: re-weighting at the interaction vertex

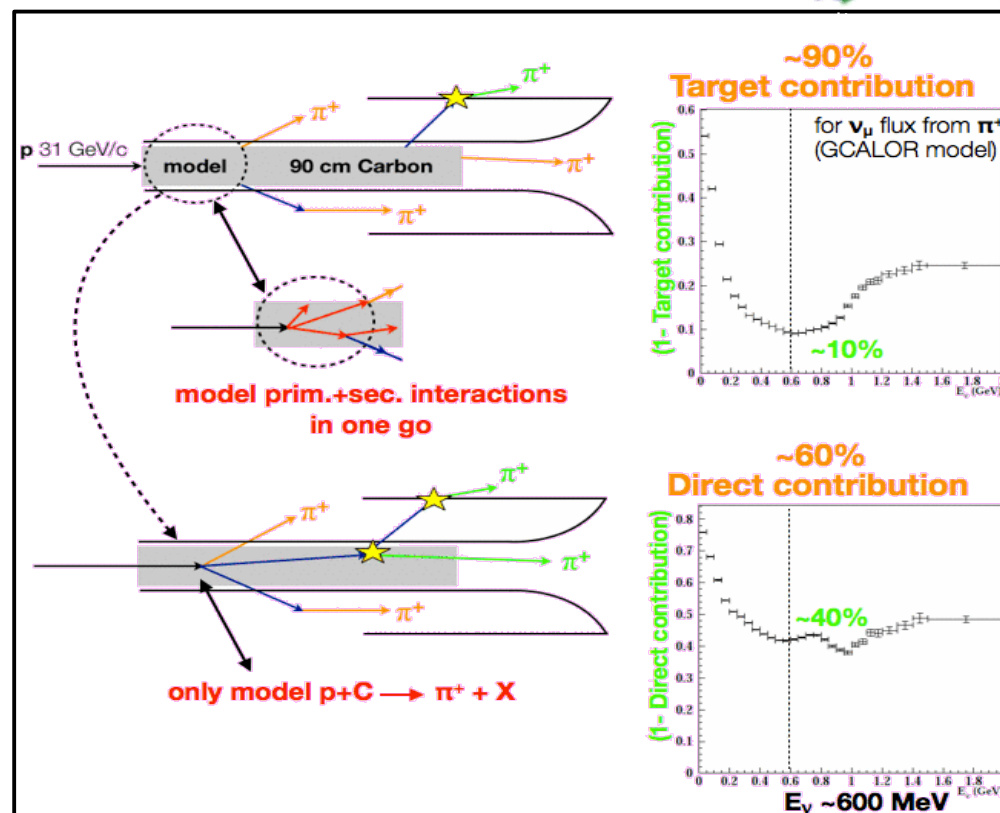
- Model dependent:  $x_F$  scaling assumed for extrapolation
- Results of many hadron production experiments are used

2) The actual target measurements

- Hadron yields on a surface of target
- Never used so far
- HARP, NA61, MIPP

3) Additional constraints come from

- Muon monitor measurements
- In situ techniques (direct measurement of the  $\nu$  flux)
- ...



# Approaches for the $\nu$ flux constraint

T2K

1) Traditional: re-weighting at the interaction vertex

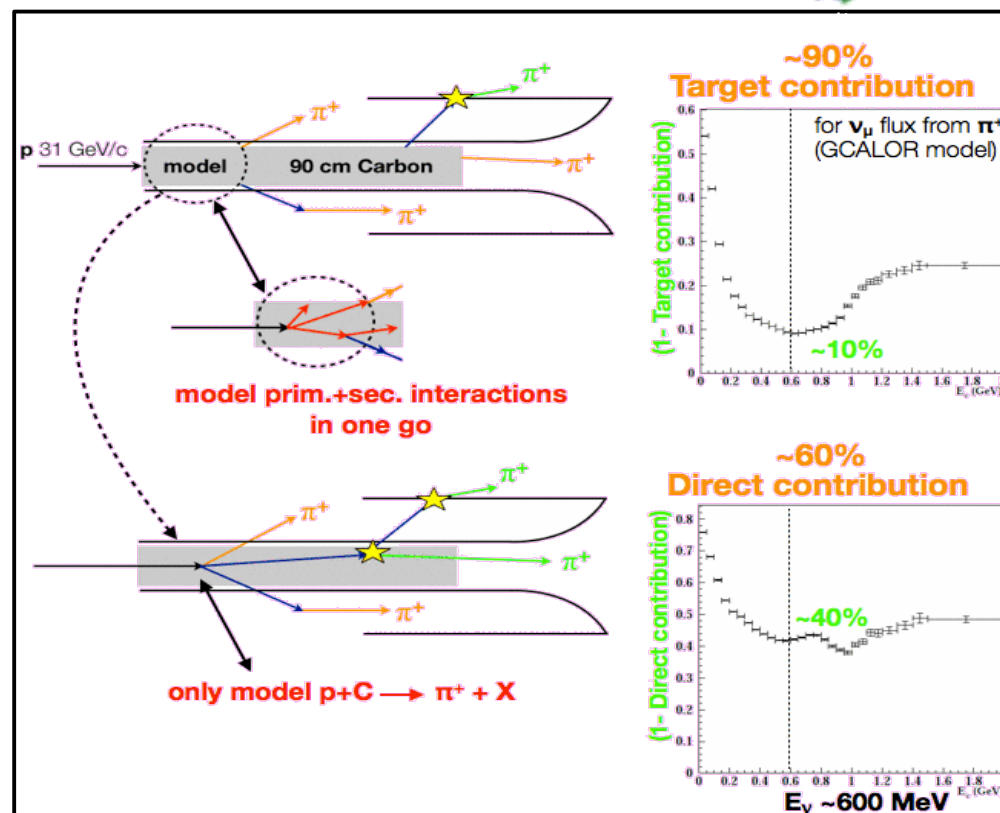
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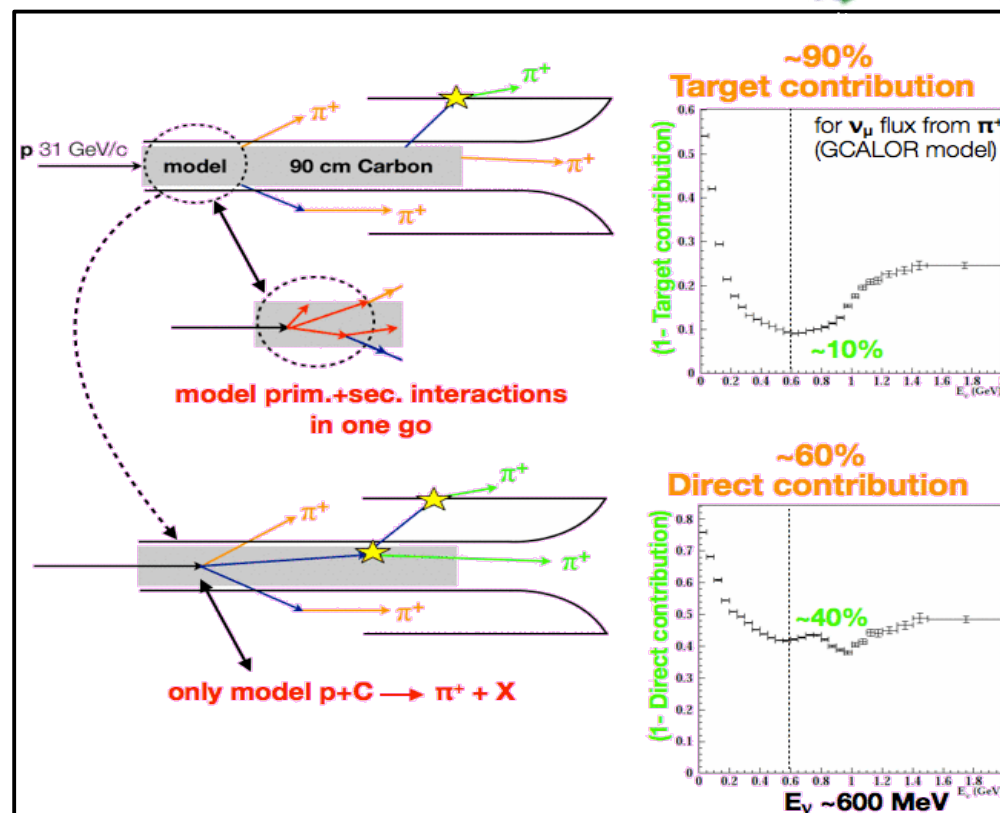
MINERvA's posters:

- Low-nu flux technique, L.Ren
- Flux constrain by  $\nu e$  scat., J.Park

# Approaches for the $\nu$ flux constraint



- 1) Traditional: re-weighting at the interaction vertex
  - Model dependent:  $x_F$  scaling assumed for extrapolation
  - Results of many hadron production experiments are used
- 2) The actual target measurements
  - Hadron yields on a surface of target
  - Never used so far
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  - In situ techniques (direct measurement of the  $\nu$  flux)
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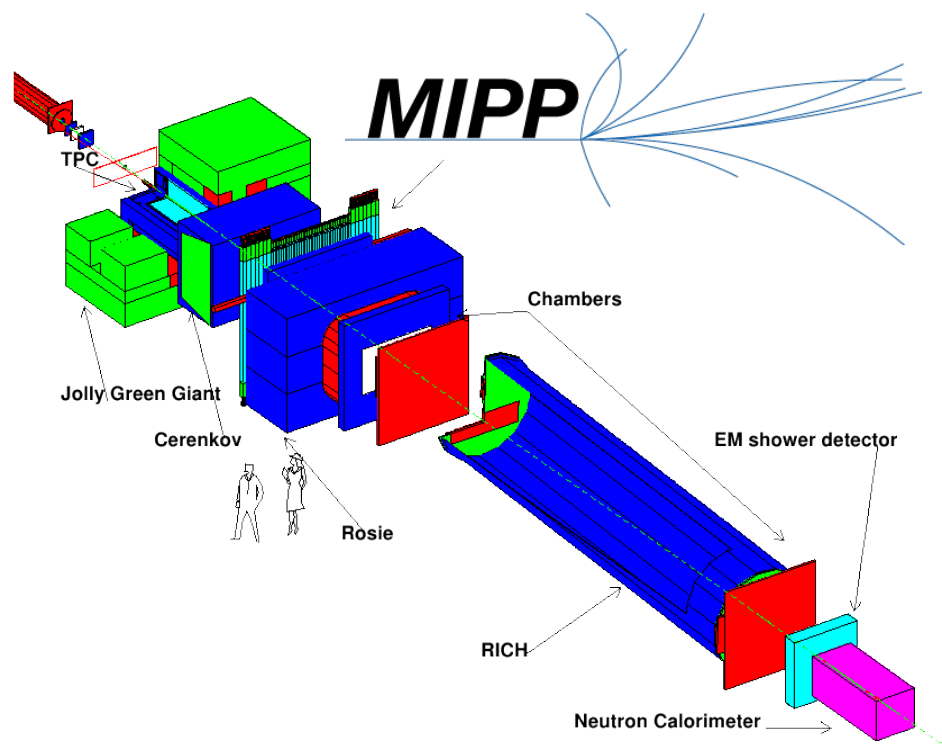
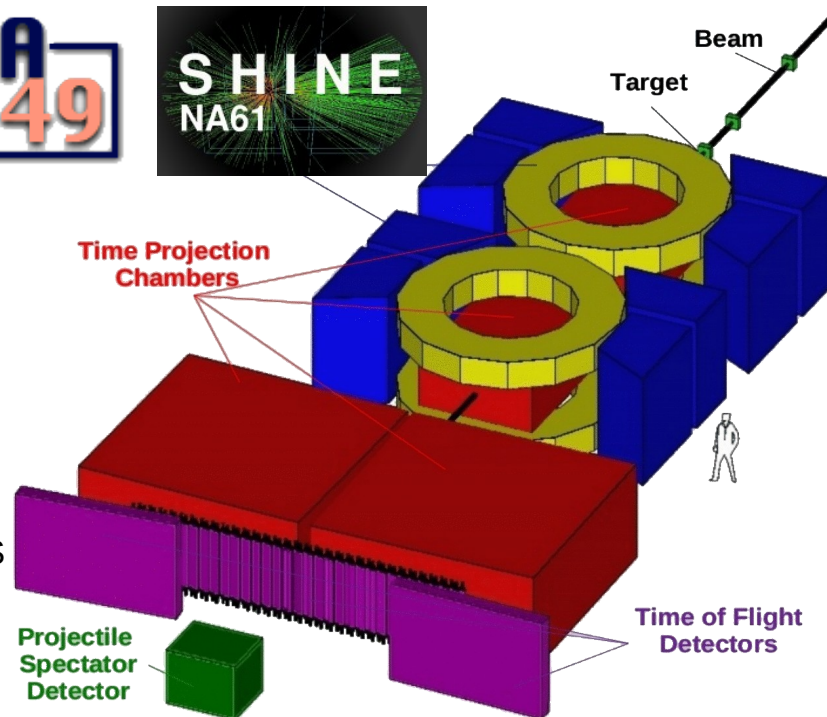


**One can feel safe if all methods give consistent results !**



## Data for beamline of T2K

- **NA61/SHINE** approved in 2007
- Successor of NA49, H2 beamline of CERN SPS
- Pilot run with pC data at 31 GeV in 2007. Main dataset in 2009 and 2010
- **New results** (run 2009) on  $\pi^\pm$ ,  $K^\pm$ ,  $p$ ,  $\Lambda$ ,  $K_S^0$
- Thin and replica target measurements



## Data for NuMI beamline in Fermilab

- NA49 data to constrain the  $\nu$  flux
- **MIPP**: approved in 2001, datataking in 2005 and 2006
  - Ratio of hadron cross sections  $\pi^-/\pi^+$ ,  $K^-/K^+$ ,  $\pi/K$  released in 2007
  - **New results** on  $\pi^\pm$  production yields off NuMI target: arXiv:1404.5882
- Extensive program is foreseen in NA61 (pilot data at 120 GeV in 2012)

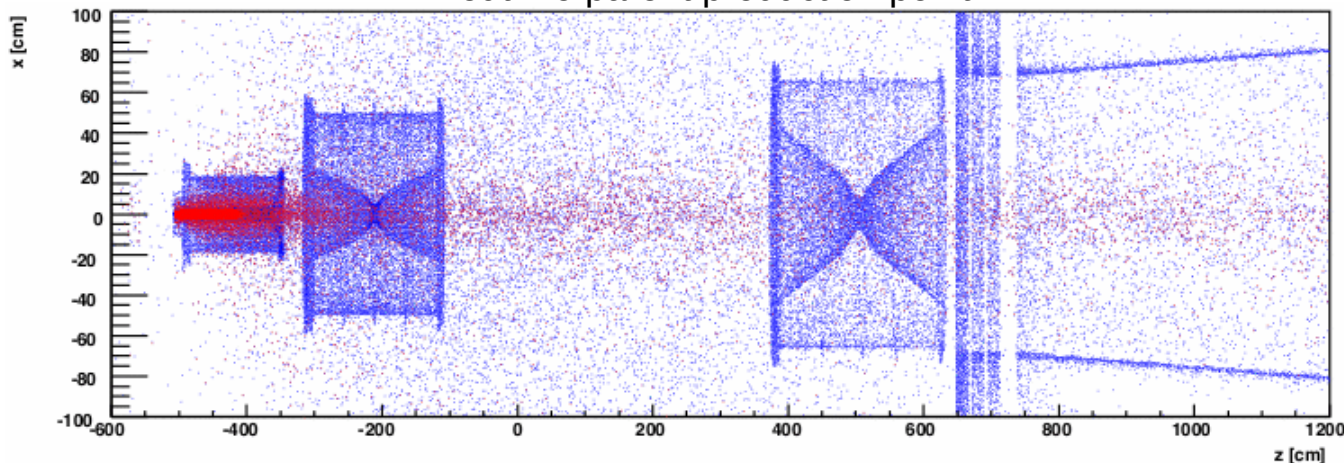
## **Traditional approach: re-weighting at the interaction vertex**

- NA61 data for the T2K simulation
- New results of NA61
- NA49 & MIPP data for the NuMI simulation



# NA61 data in the **T2K** experiment

neutrino parent production point



**Red**: parent produced in target  
**Blue**: parent produced outside the target

Hadronic interaction in the target are modeled with FLUKA, outside the target with GEANT3 (GCALOR)

Main set of hadron production data

Experiment	Beam p[GeV/c]	Target	Particle
<b>NA61/SHINE</b>	31	C	$\pi^\pm, K^\pm, p$
Eichten <i>et al.</i>	24	Be, Al, ...	$p, \pi^\pm, K^\pm$
Allaby <i>et al.</i>	19.2	Be, Al, ...	$p, \pi^\pm, K^\pm$
E910	6.4-17.5	Be	$\pi^\pm$

Major part of the T2K phase space

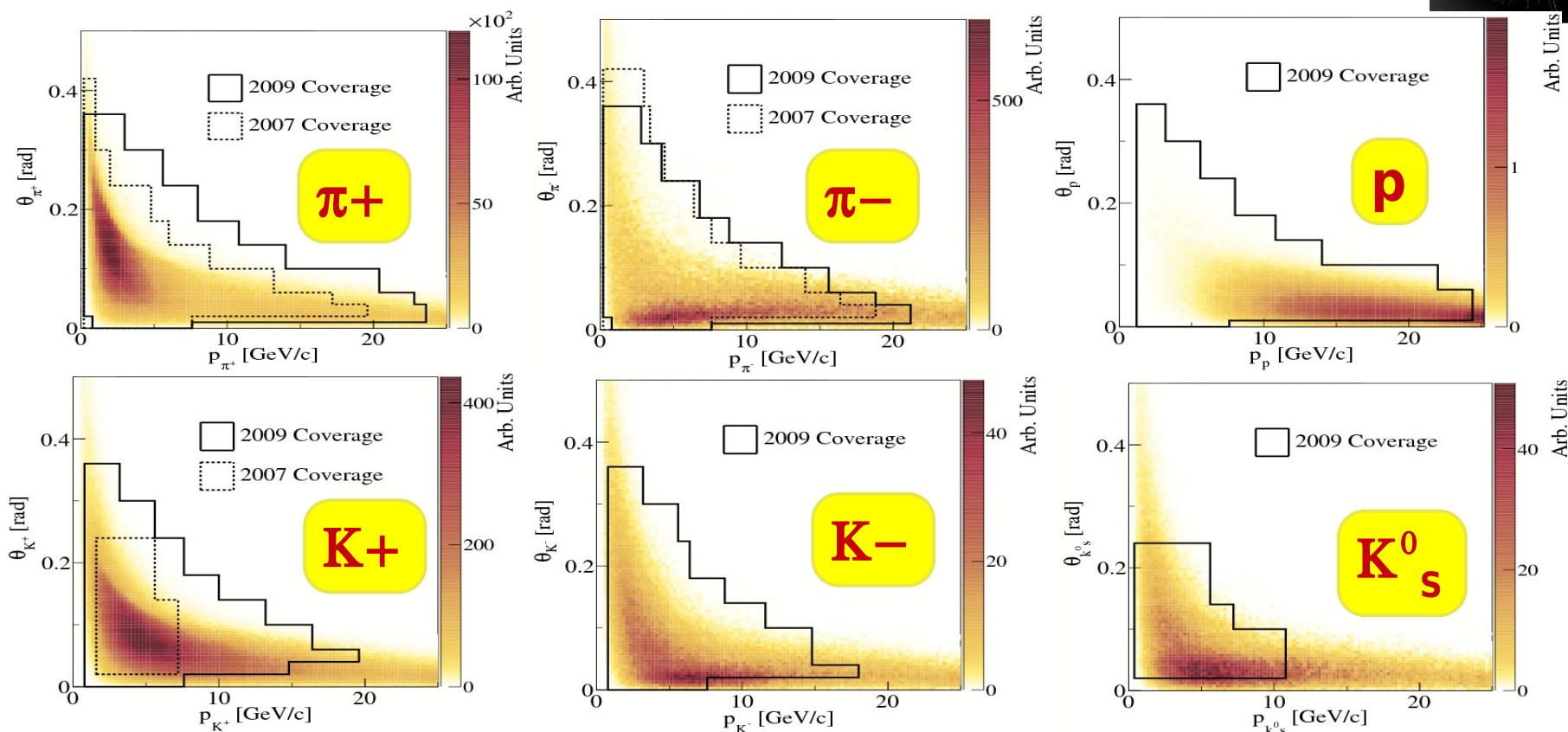
For forward kaons

For tertiary pions

- Interaction chain for hadrons is stored, to be **weighted later with real measurements**
- Tuning of tertiary pions requires extrapolation from NA61 data
  - Extrapolation to different incident nucleon momenta is done assuming Feynman scaling ( $x_F = p_L / p_L^{\max}$ )
  - Extrapolation from carbon to aluminum using

$$E \frac{d^3 \sigma(A_1)}{dp^3} = \left[ \frac{A_1}{A_0} \right]^{\alpha(x_F, p_T)} E \frac{d^3 \sigma(A_0)}{dp^3}$$

# Phase space of hadrons contributing to the predicted $\nu$ flux at SK (250 kA)

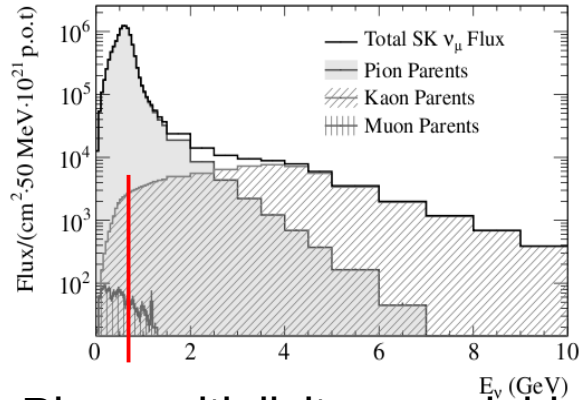


## Summary of data collected by **NA61** for T2K

beam	target	year	stat $\times 10^6$	Status of analysis	The T2K beam MC
protons at 31 GeV/c	thin target 2cm ( $0.04\lambda_I$ )	2007	0.7	published: $\pi^\pm$ , $K^+$ , $K_S^0$ , $\Lambda$	is used
		2009	5.4	prelim: $\pi^\pm$ , $K^\pm$ , $p$ , $K_S^0$ , $\Lambda$	to be used in 2014
	the T2K replica target 90 cm ( $1.9\lambda_I$ )	2007	0.2	published: $\pi^\pm$	method developed
		2009	2.8	to be released in 2014	-
		2010	$\sim 10$	calibration	-

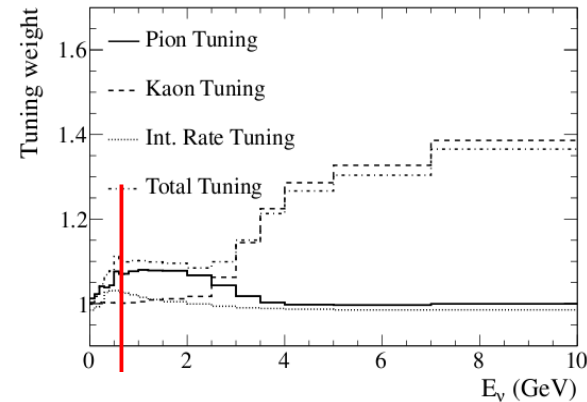
# Tuning of $\nu_\mu$ flux using NA61 spectra

## Decomposition of $\nu_\mu$ flux



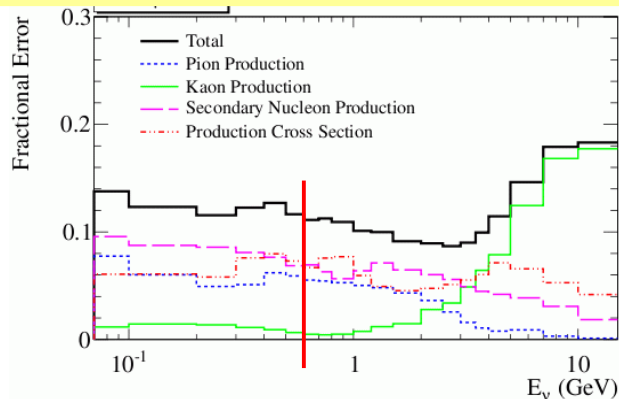
- Pion multiplicity re-weighting has the largest effect at low energies, while the kaon multiplicity re-weighting is important at high energies.

## Re-weighting coefficient

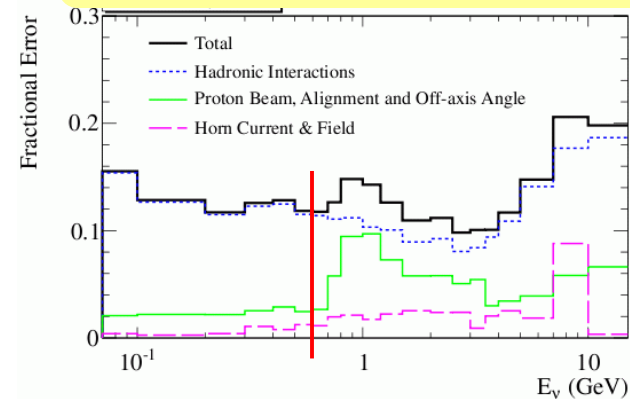


*Pilot data of NA61 (2007) have been used*

## Error due to hadron production

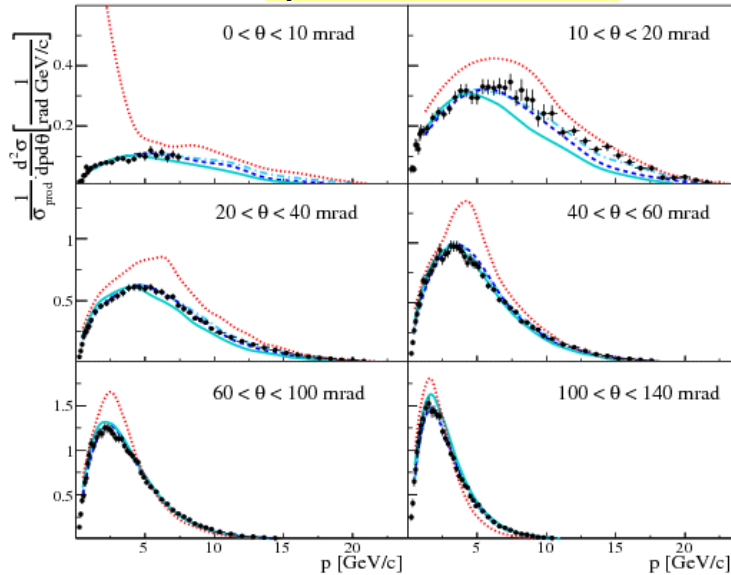


## All sources of systematics

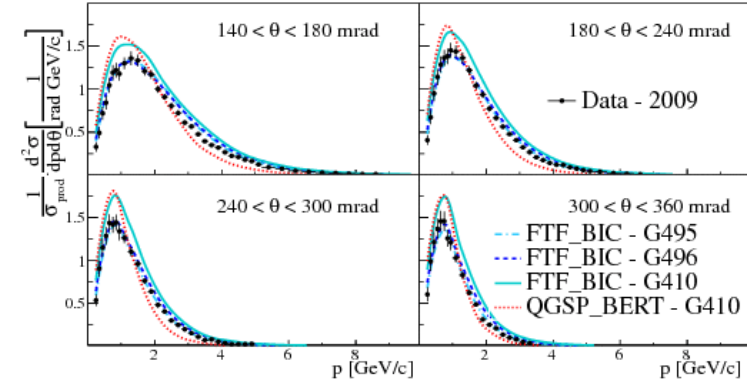
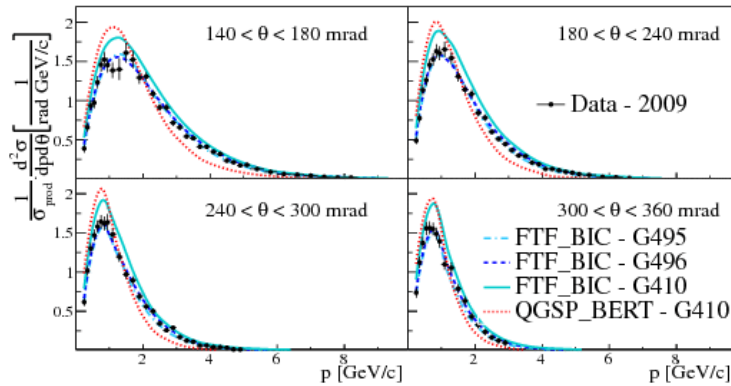
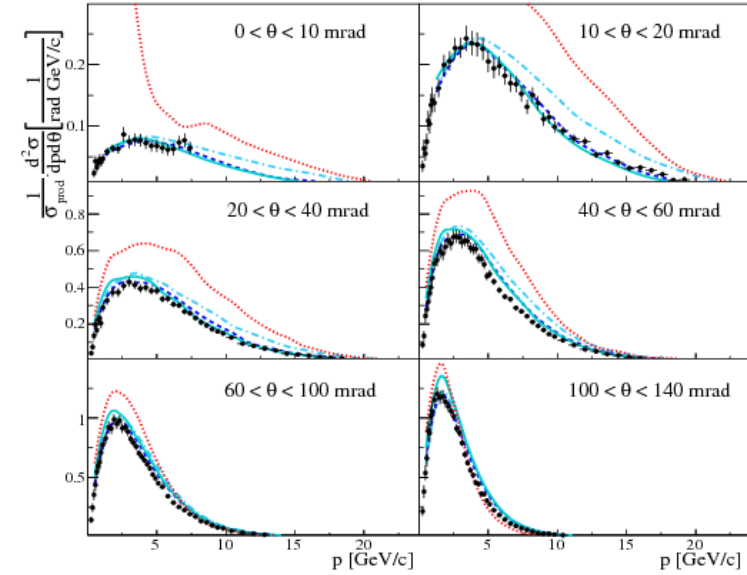


- Total flux uncertainty is dominated by the hadron interaction uncertainties

$$p + C \rightarrow \pi^+ + X$$



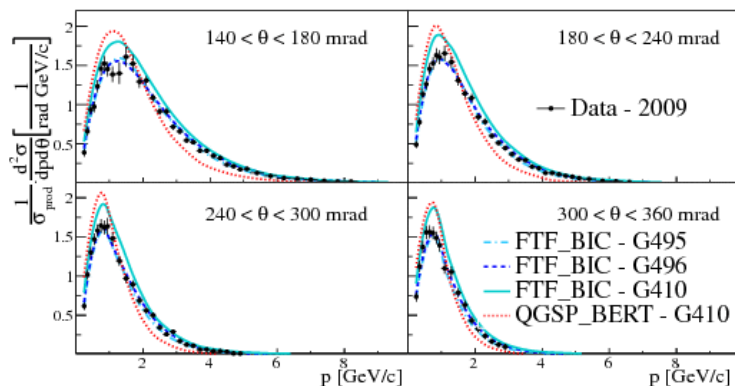
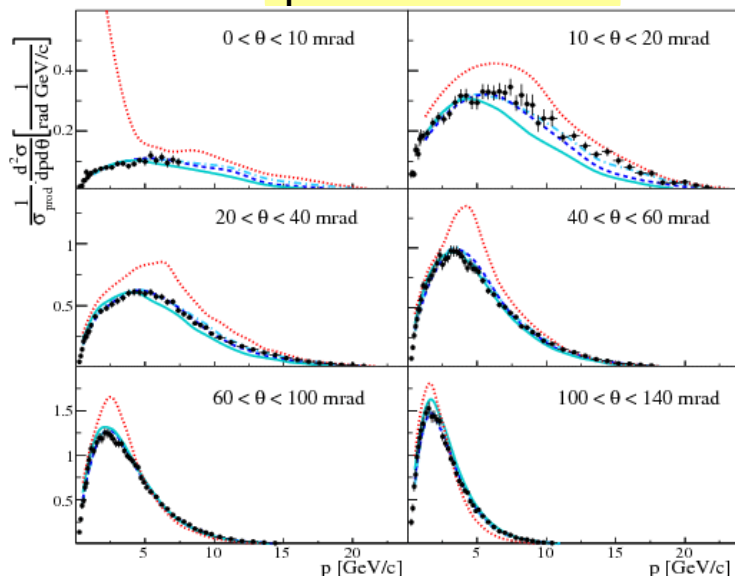
$$p + C \rightarrow \pi^- + X$$



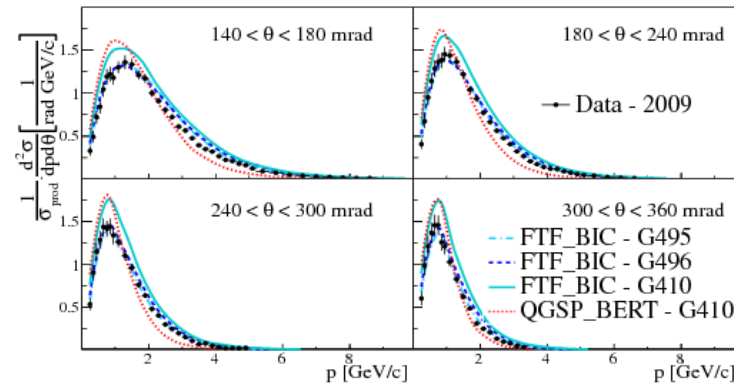
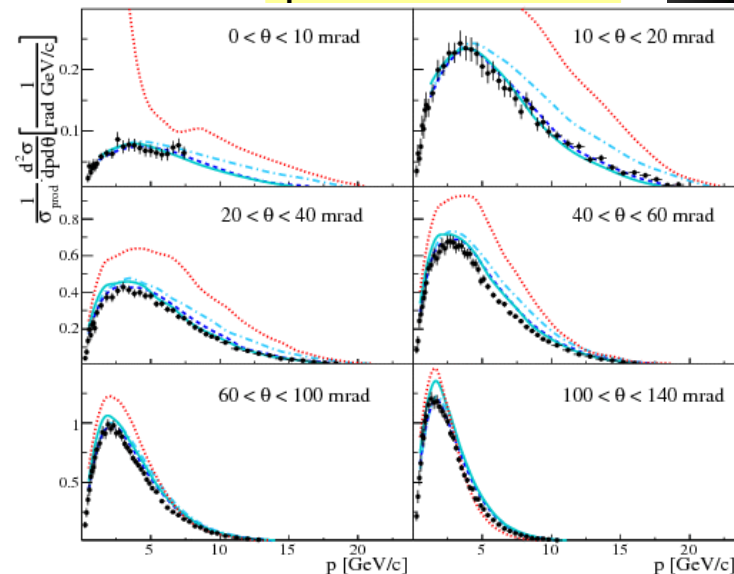
- New NA61 results based on [data 2009](#). Precision improved by a factor 2-3 as compared to the pilot data 2007 (used so far by T2K)
- Typical uncertainty for regions which are important for  $\nu$  flux is  $\sim 4\%$
- Recent versions of FTF\_BIC describe data reasonably



$$p + C \rightarrow \pi^+ + X$$



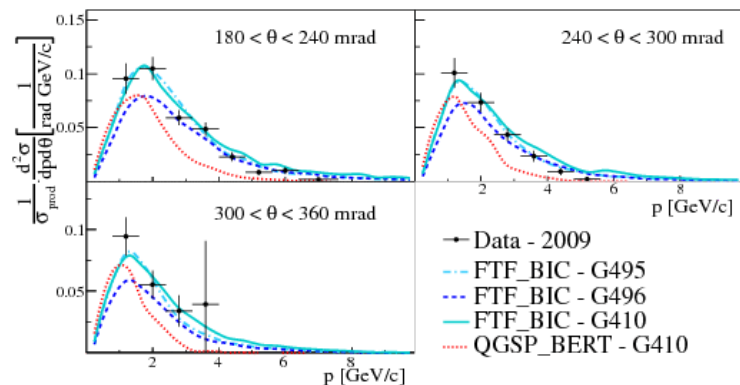
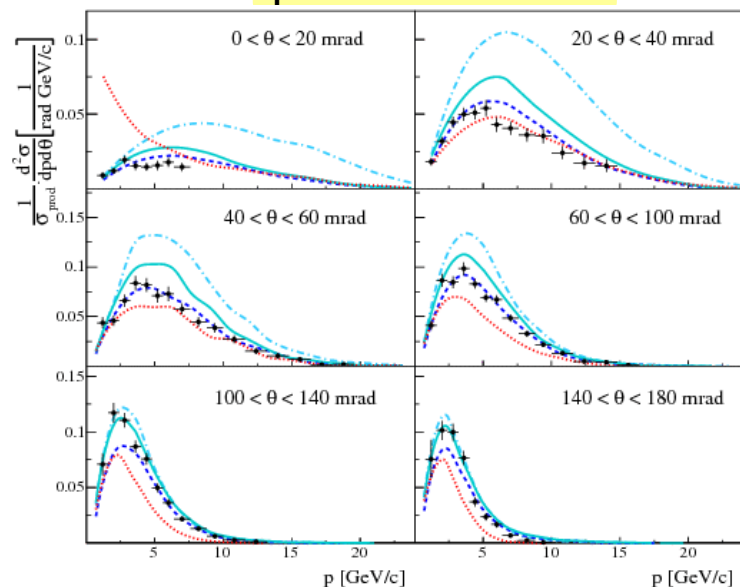
$$p + C \rightarrow \pi^- + X$$



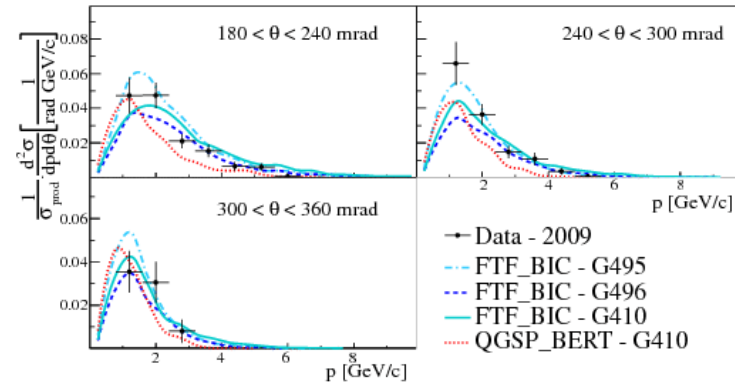
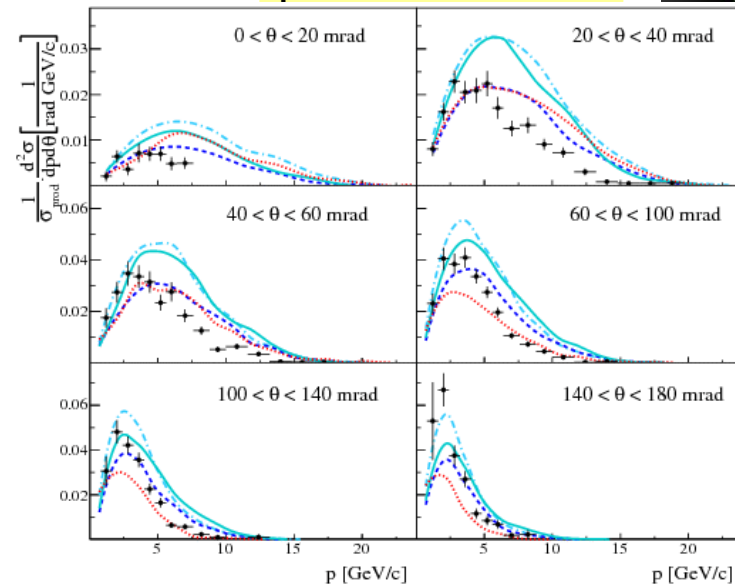
- New NA61 results based on [data 2009](#). Precision improved by a factor 2-3 as compared to the pilot data 2007 (used so far by T2K)
- Typical uncertainty for regions which are important for T2K
- Recent versions of FTF\_BIC describe data reasonably well

Poster by M.Posiadala:  
 •  $\pi^\pm$  multiplicities from p-C interactions at 31 GeV for T2K

$$p + C \rightarrow K^+ + X$$

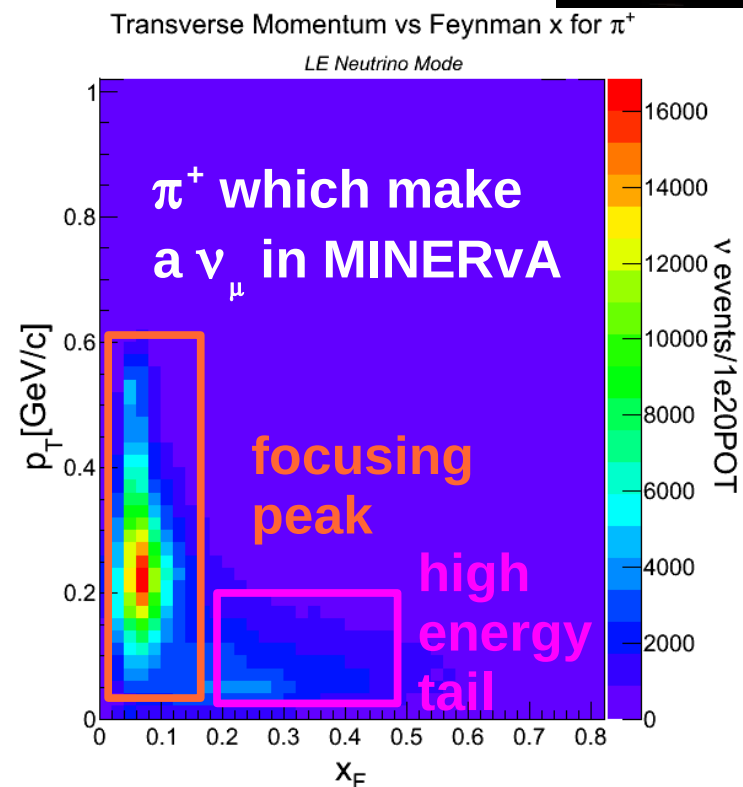
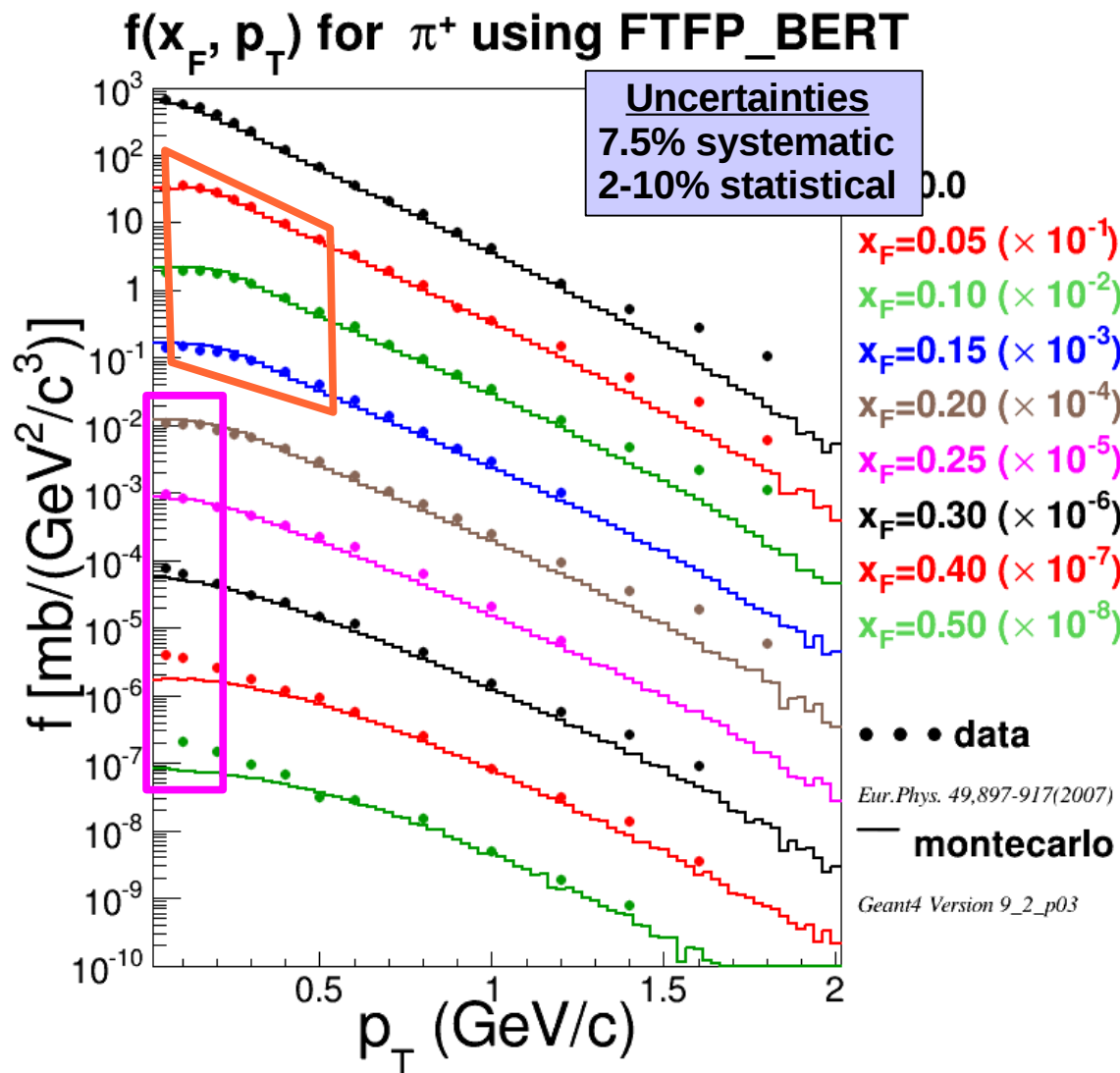


$$p + C \rightarrow K^- + X$$



- New NA61 results based on [data 2009](#). Precision improved by a factor 2-3 as compared to the pilot data 2007 (used so far by T2K)
- Typical uncertainty in a central region is ~15%
- Recent versions of FTF\_BIC describe data reasonably

# NA49 data for the NuMI simulation

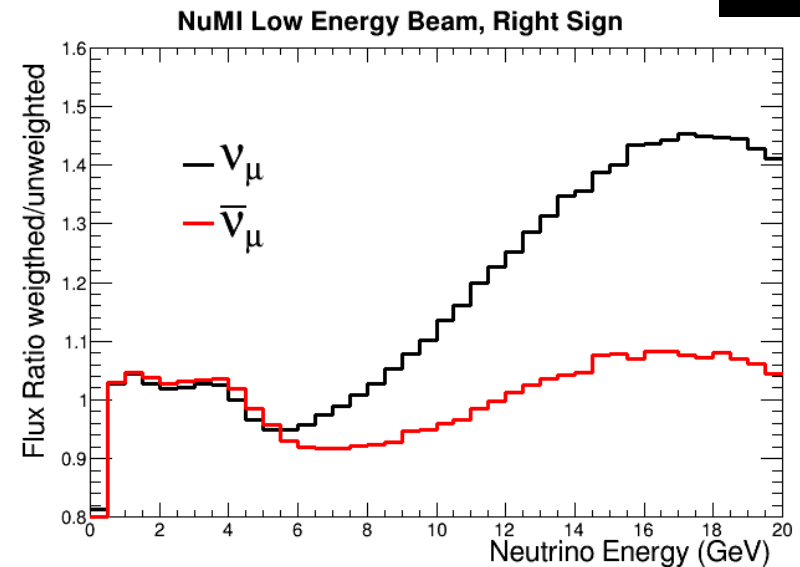
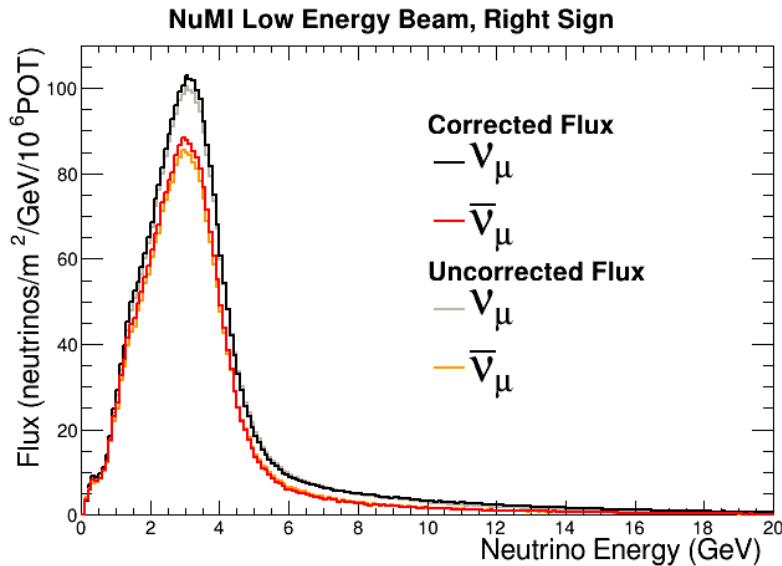
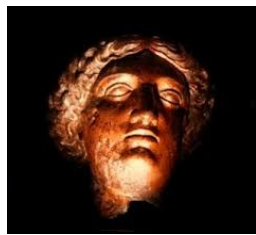


- Cascade leading to  $\nu$  is tabulated at generation. Save kinematics & material
- In analysis, interactions reweighted as  $\sigma(\text{data})/\sigma(\text{MC})$

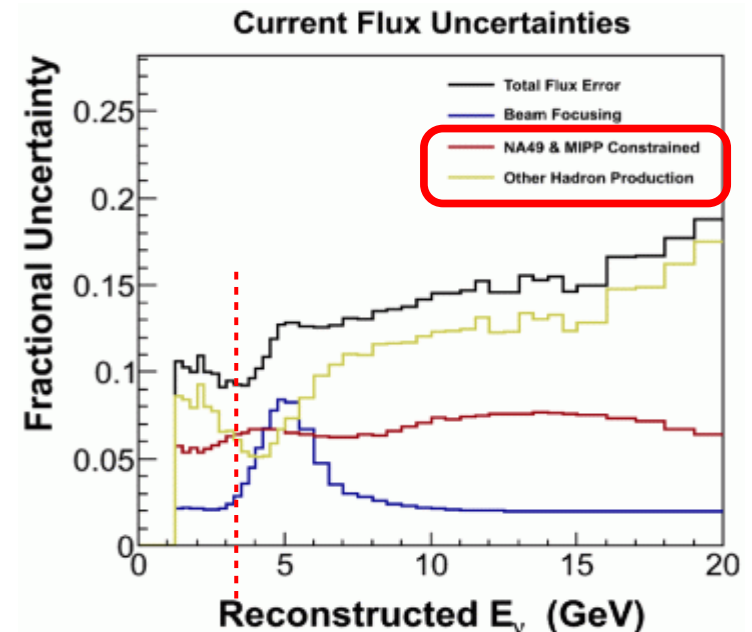
- NA49 measurements at 158 GeV:  $p+C \rightarrow (\pi^\pm, K^\pm, p)+X$
- MIPP measurements at 120 GeV: ratio of cross sections  $K/\pi$



# NA49 data for the NuMI simulation



- FLUKA is used to translate NA49 measurements to proton energies between 12 and 120 GeV
- Interactions not constrained by the NA49 data are predicted using FTFP
- Effect of corrections is < 5% at peak energy
- Flux uncertainty is a dominant contribution to the cross section systematics
- Hadron interactions dominates in the systematics of the flux

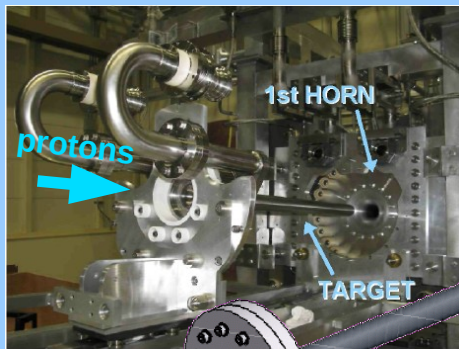


## **The actual target measurements: parametrization of hadron yields outside the target**

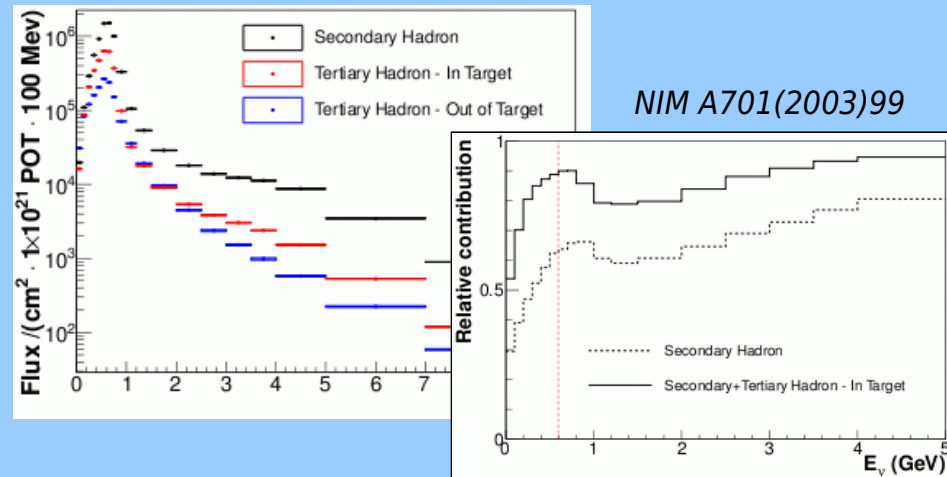
- Results of NA61 for T2K
- New results of MIPP for NuMI

# The actual target measurements

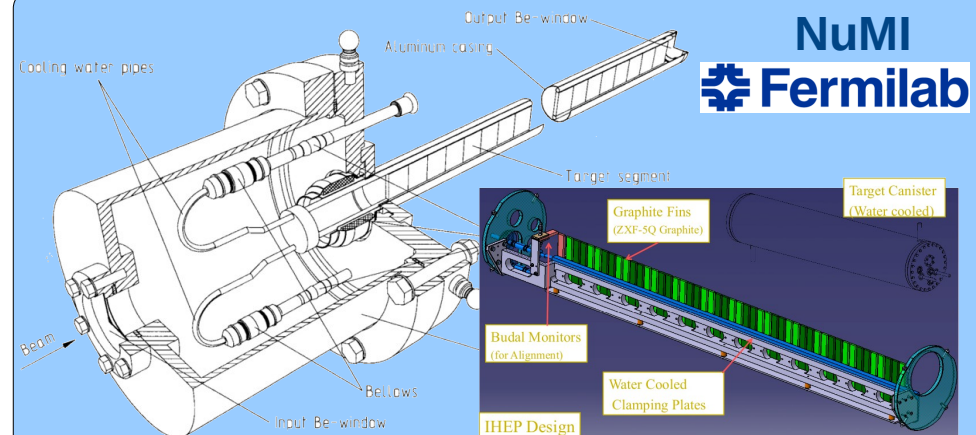
Measurement of hadron yields at the target surface (no matter how they are produced)



Graphite rod 90 cm long and  $\varnothing = 2.6$  cm. Replica target was delivered to CERN to be used in NA61

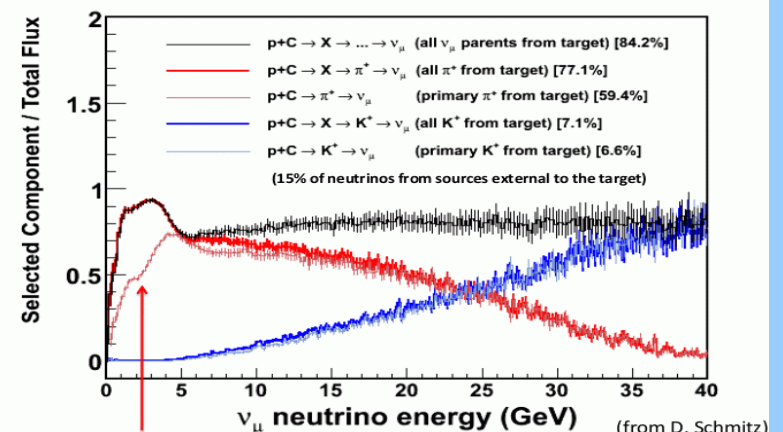


Ancillary experiment: NA61/SHINE in CERN



Canister 90 cm long and  $\varnothing = 3$  cm. 47 graphite segments soldered to water cooling line

## Fractional NuMI Fluxes

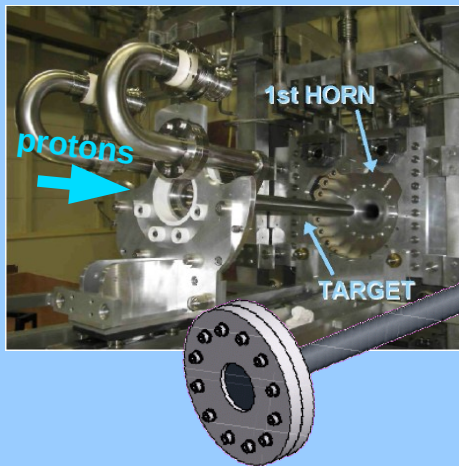


This is the piece one directly constrains with the NA49 data, though in a slightly model dependent way since it is at 158 GeV/c

Ancillary experiment: MIPP in Fermilab

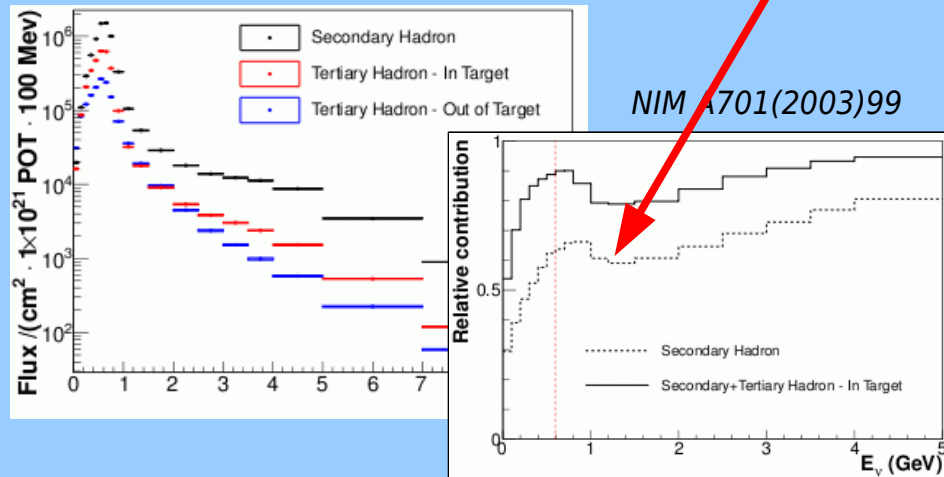
# The actual target measurements

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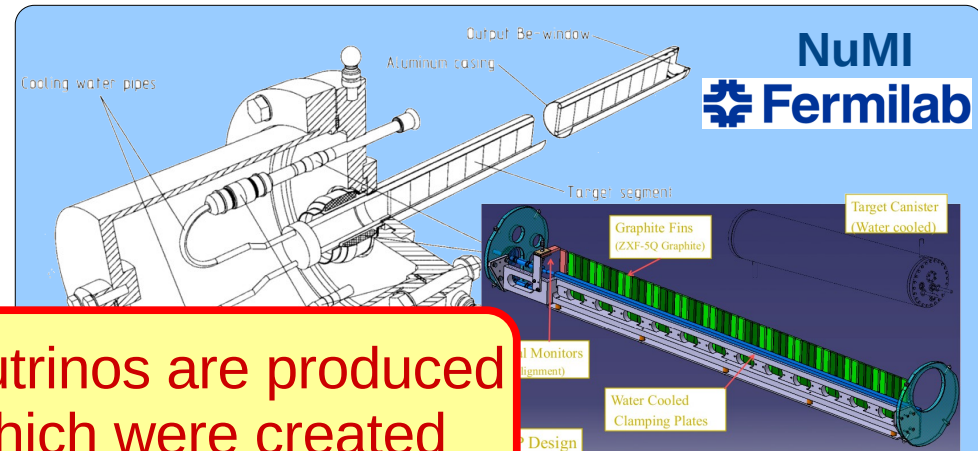


About 40% of neutrinos are produced from hadrons which were created in secondary interactions

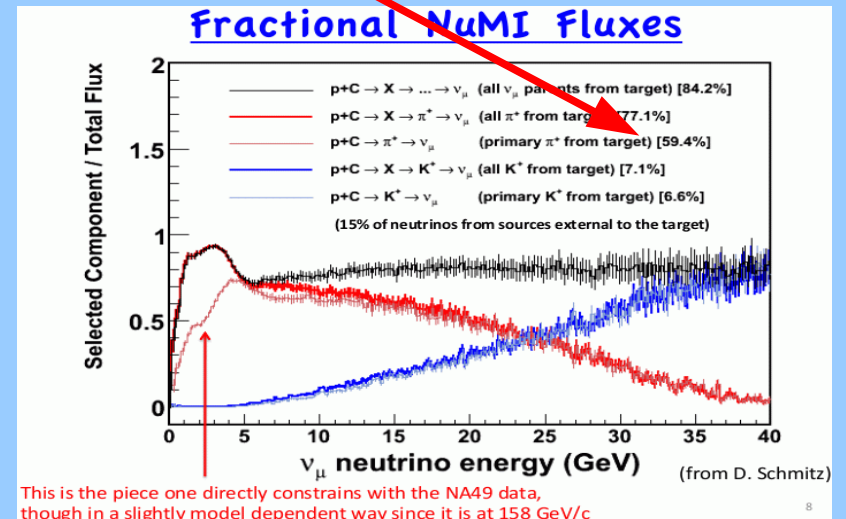
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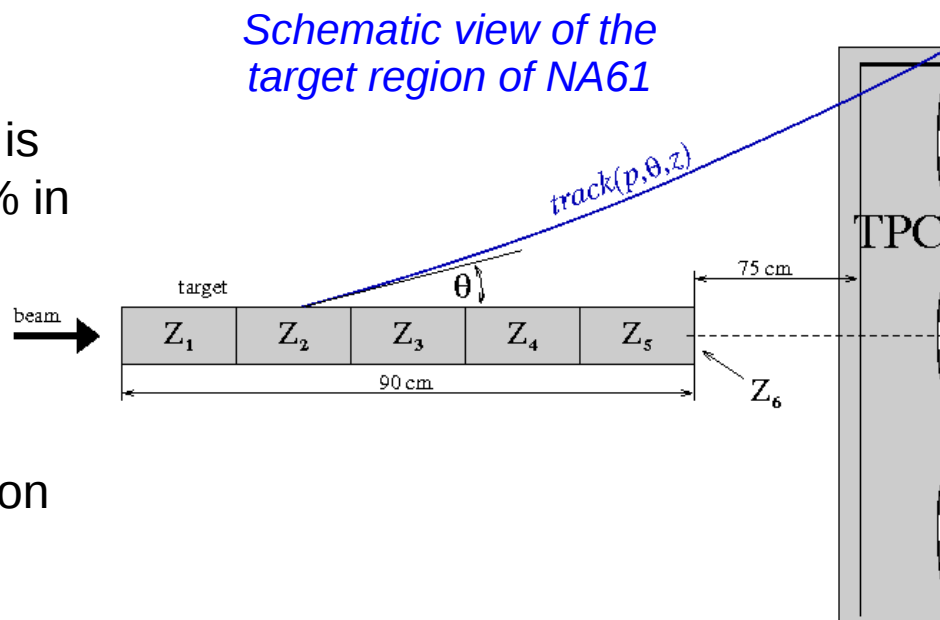


Ø = 3 cm. 47 graphite segments soldered to water cooling line



Ancillary experiment: MIPP in Fermilab

- Hadron multiplicities are parametrized **at the target surface** (no interaction vertex reconstruction)
- Model dependence of the  $\nu$  flux prediction is reduced down to 10% as compared to 40% in the standard approach
- Analysis in bins of  $(p, \theta, z)$
- Target is subdivided into 6 z-bins
- Re-weighting multiplicities of hadrons exiting the target in the T2K beam simulation
- Method is published: *NIM A701(2013)99*
  - $\pi^\pm$  analysis based on pilot data 2007

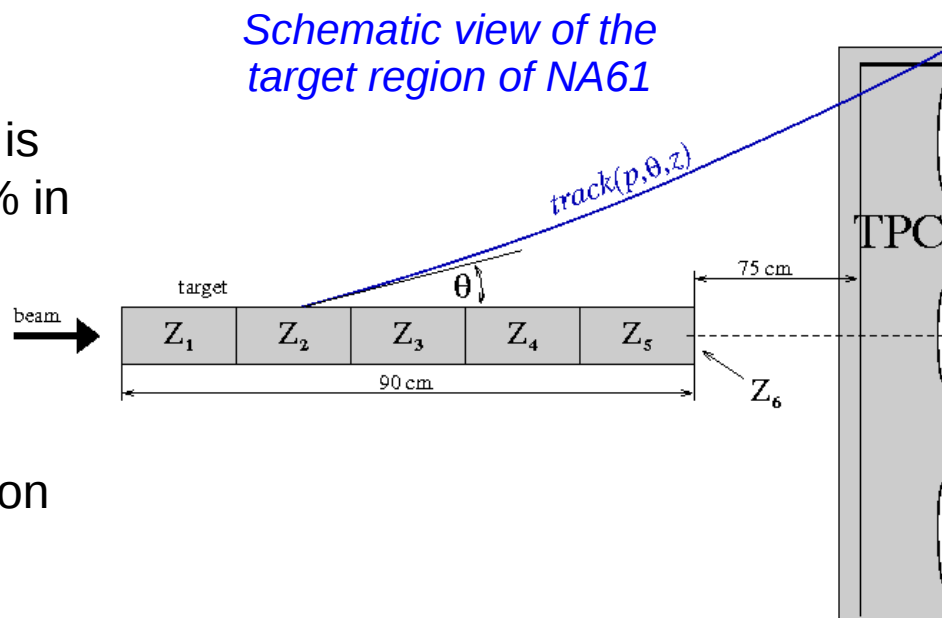


Summary of data collected by **NA61** for T2K

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	the T2K replica target 90 cm (1.9 $\lambda_I$ )	2007	0.2	published: $\pi^\pm$	method developed
		2009	2.8	to be released in 2014	-
		2010	~10	under calibration	-



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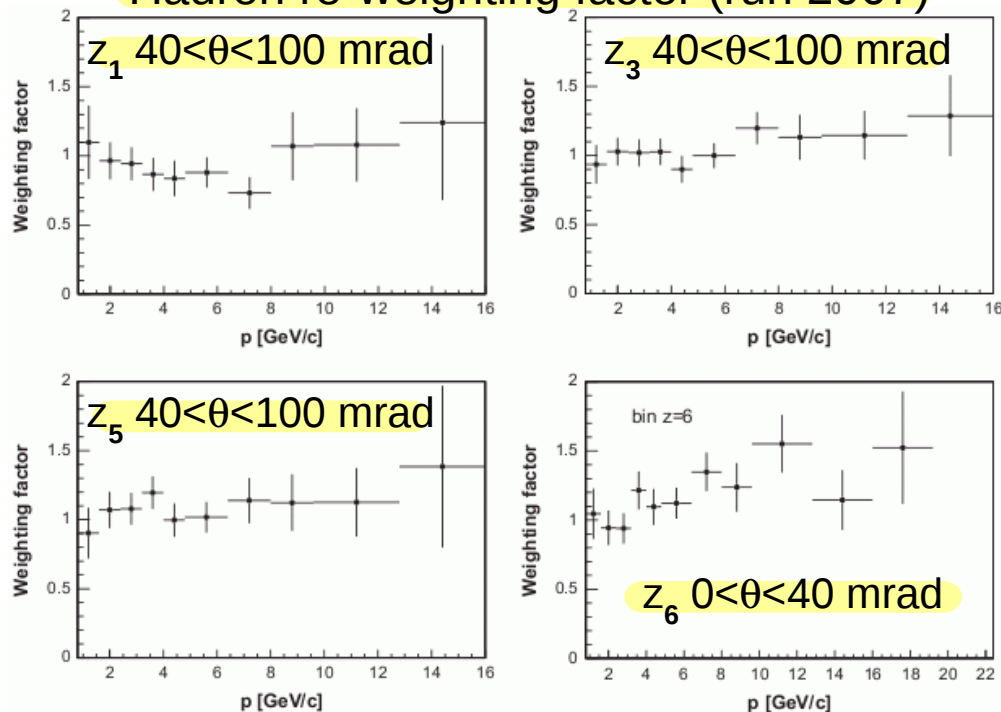
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poster of A.Haesler

- Spectra of  $\pi^+$
- $\nu$  flux to be calculated

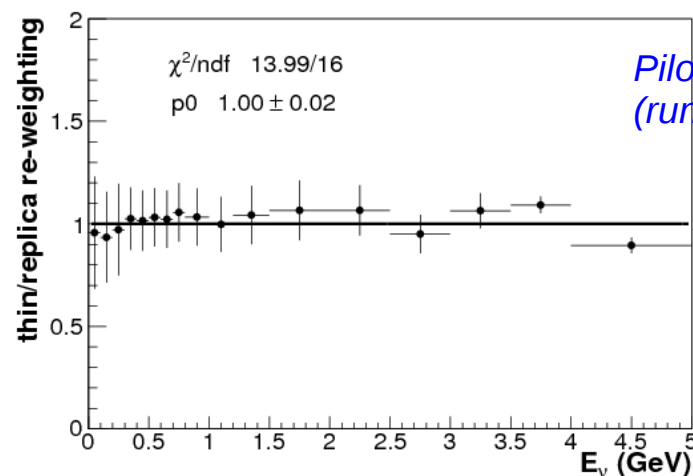
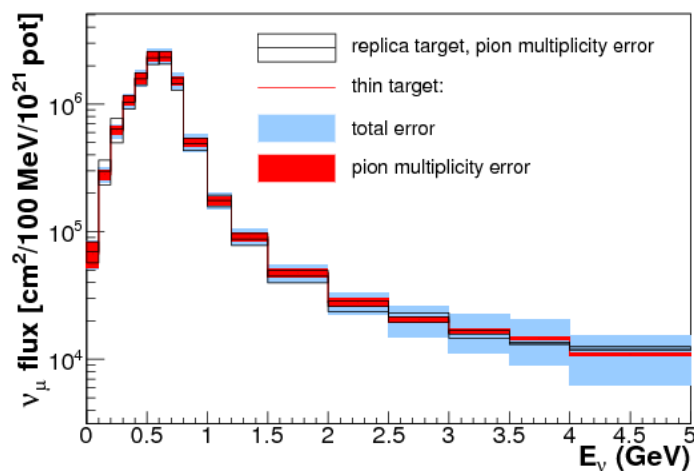
## Hadron re-weighting factor (run 2007)



- Yields are measured at the target surface

$$w(p, \theta, z) = \frac{Data(p, \theta, z)}{FLUKA(p, \theta, z)}$$

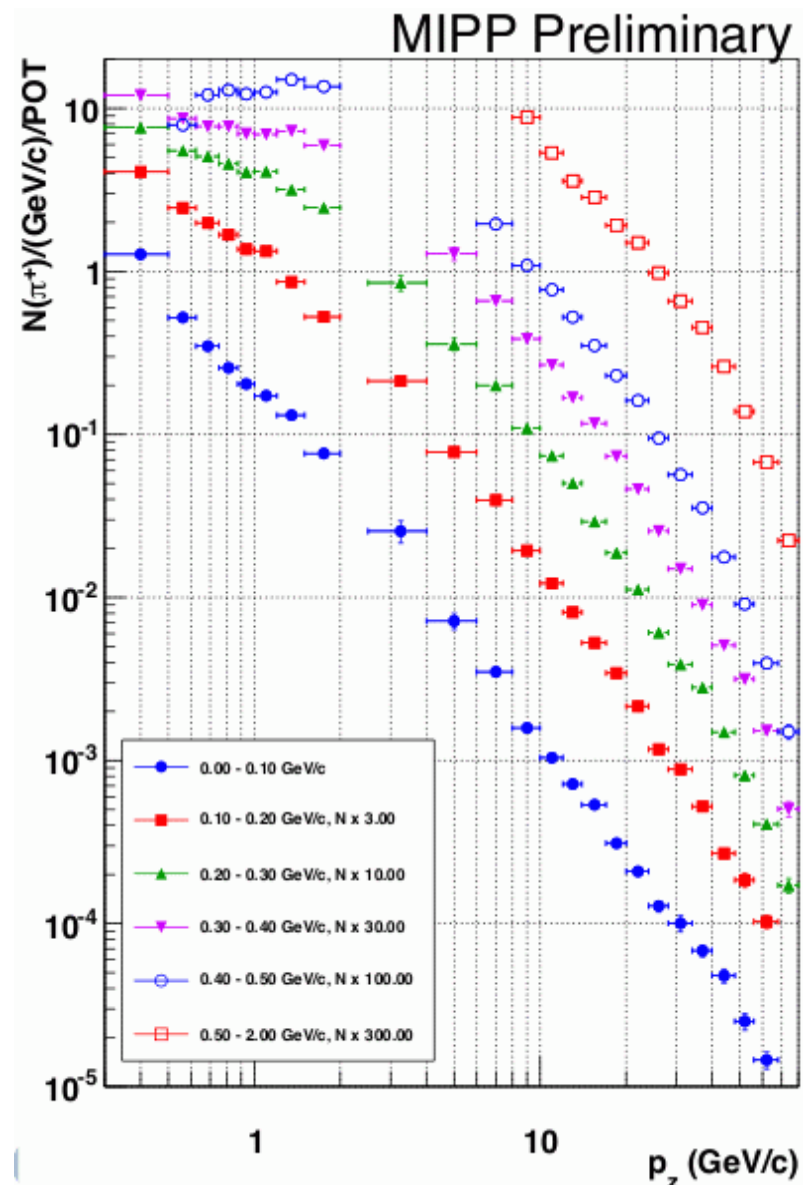
- Good agreement between the traditional and the replica target methods ( $\pi^\pm$  only)
- Statistical uncertainty is dominant
- Ultimate precision will come with the analysis of data 2009 and 2010



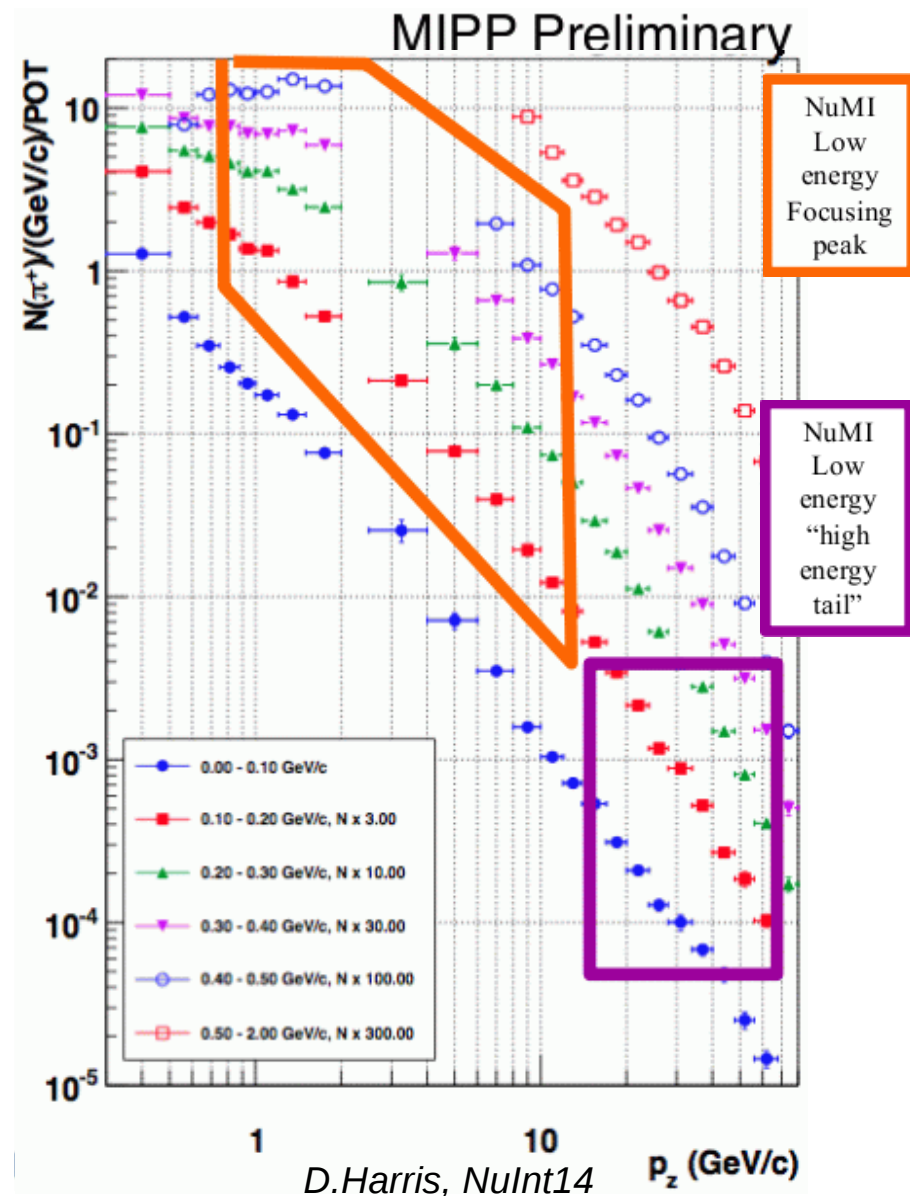
*Pilot data of NA61  
(run 2007)*



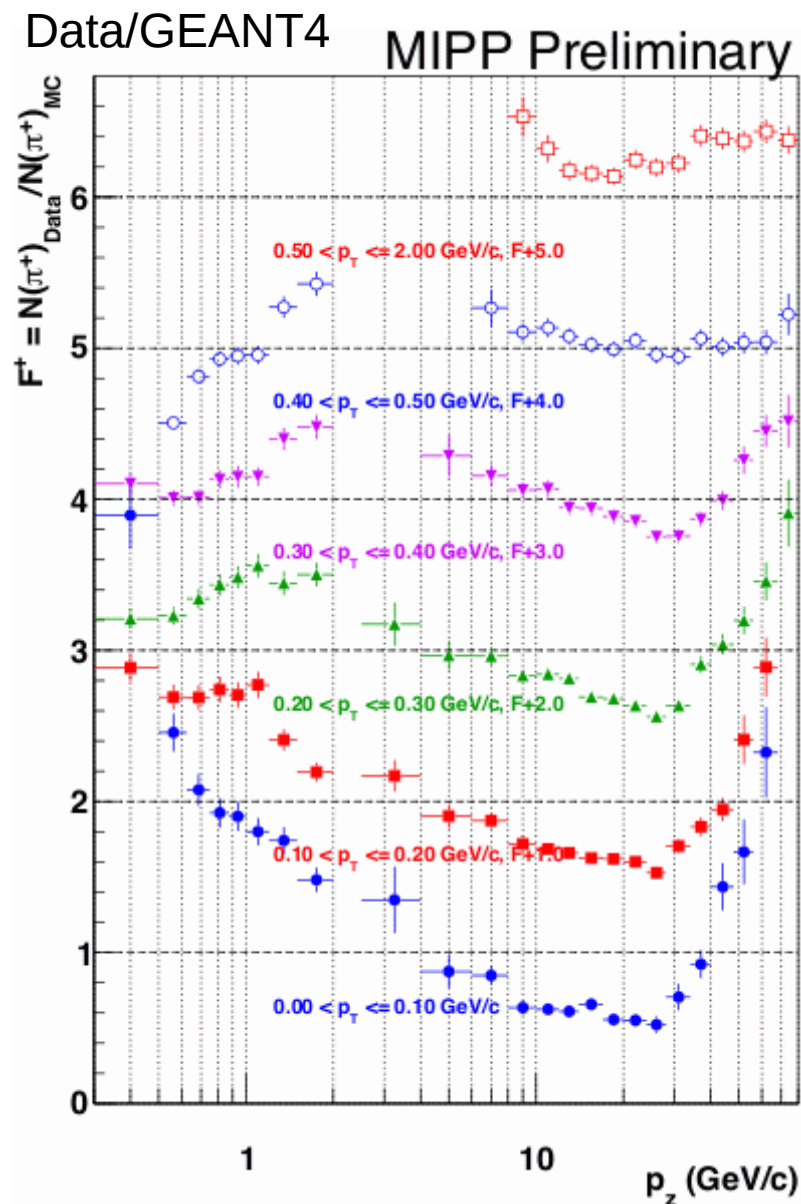
- $1.43 \times 10^6$  protons at 120 GeV on an actual NuMI target
- Measurement of the  $\pi^\pm$  yield in  $\sim 125$  bins of  $(p_z, p_T)$  across 2 orders of magnitude in momentum
- There is no binning in  $z$
- For PID TPC ( $dE/dx$ ) and RICH have been used
- Combined statistical and systematic errors are  $< 10\%$  in nearly all bins



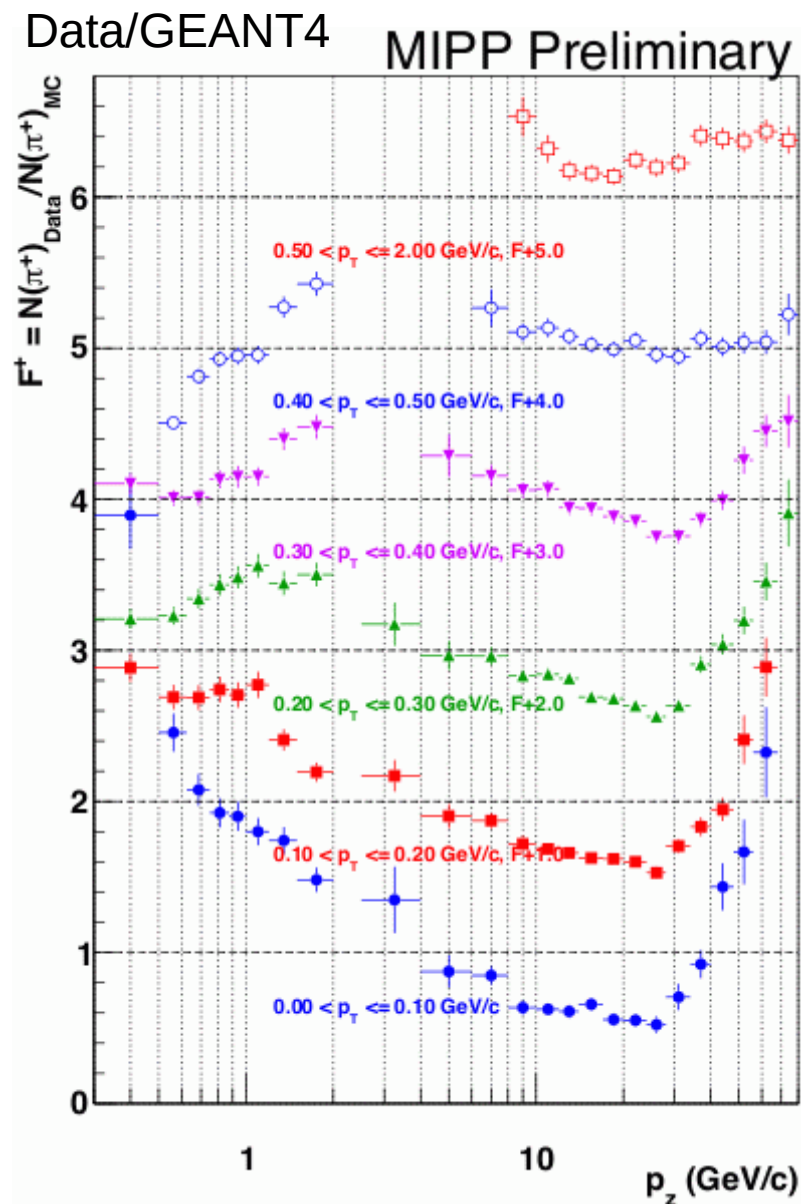
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- Data imply that MCs tend to over-estimate pion yields at higher  $p$  and under-estimate at the focusing peak
- These data may be used to re-evaluate MC predictions of the NuMI flux and reduce the overall systematic uncertainty



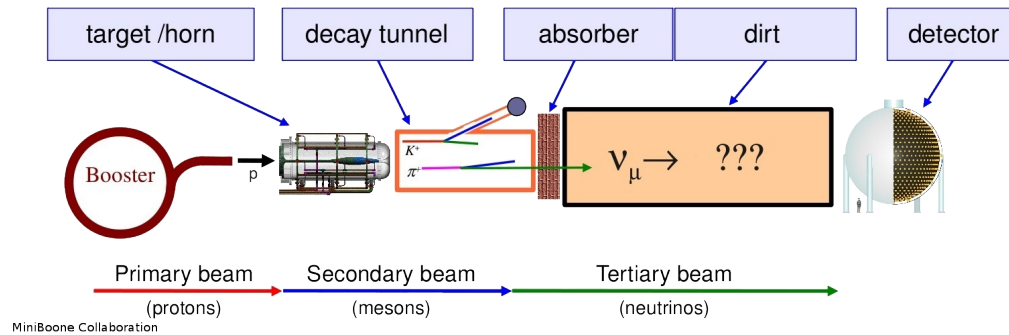
# Conclusion

- Hadron production measurement is a cornerstone of the modern  $\nu$  physics
- **Traditional approach** for the  $\nu$  flux calculation: re-weighting the parent hadron multiplicity at the interaction vertex
  - NA61 data for T2K at 31 GeV, also for NuMI at 120 GeV
  - NA49 data for NuMI at 158 GeV (scaled to 120 GeV)
  - Typical neutrino flux uncertainty is  $\sim 10\%$
- **The actual target measurements:**
  - NA61 data for T2K at 31 GeV
  - MIPP data for NuMI at 120 GeV
  - Reduction of model dependent uncertainties
- Precision measurements of hadron yields can be really difficult. Period from data taking to publication is typically  $\gtrsim 4$  years. *An ancillary experiment as much complicated as the main one!*
- Additional flux constraints using other methods could be also useful

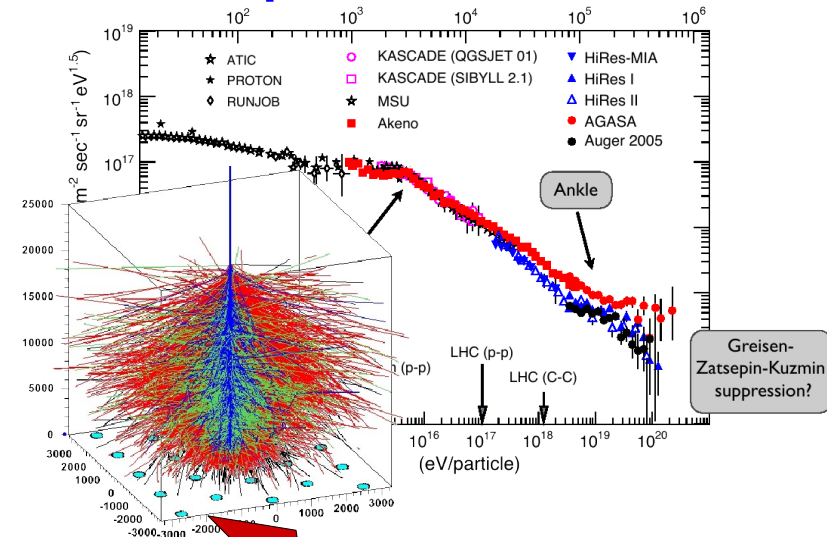
backup



## Conventional accelerator $\gamma$ -beam

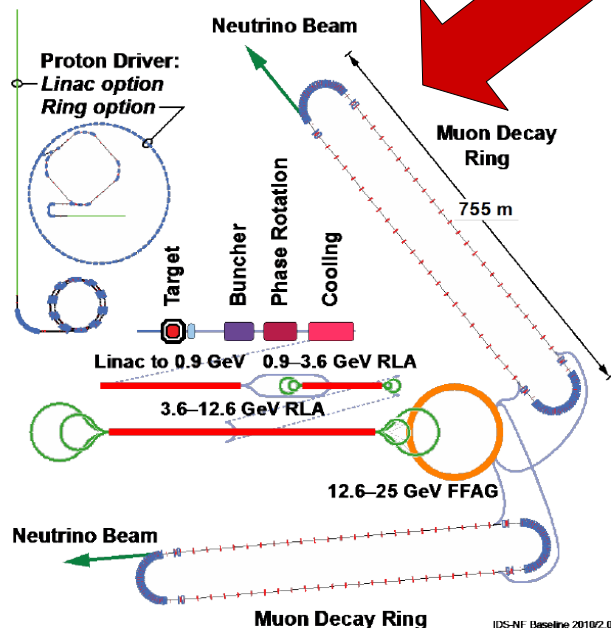


## Atmospheric showers

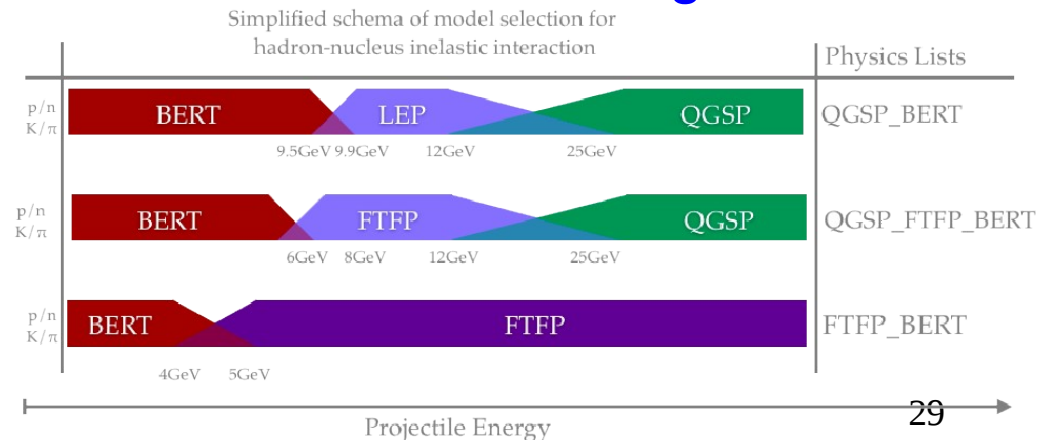


## Hadroproduction measurement $p(\pi) + A \rightarrow h + X$

## Neutrino Factory



## MC generators





- $1.43 \times 10^6$  protons at 120 GeV on an actual NuMI target
- Measurement of the  $\pi^\pm$  yield in  $\sim 125$  bins of  $(p_z, p_T)$  across 2 orders of magnitude in momentum
- For PID TPC ( $dE/dx$ ) and RICH have been used
- Combined statistical and systematic errors are  $< 10\%$  in nearly all bins
- Data imply that MCs tend to overestimate pion yields at higher momenta
- Preliminary fits of empirical function used by NuMI experiments to parametrize hadron production

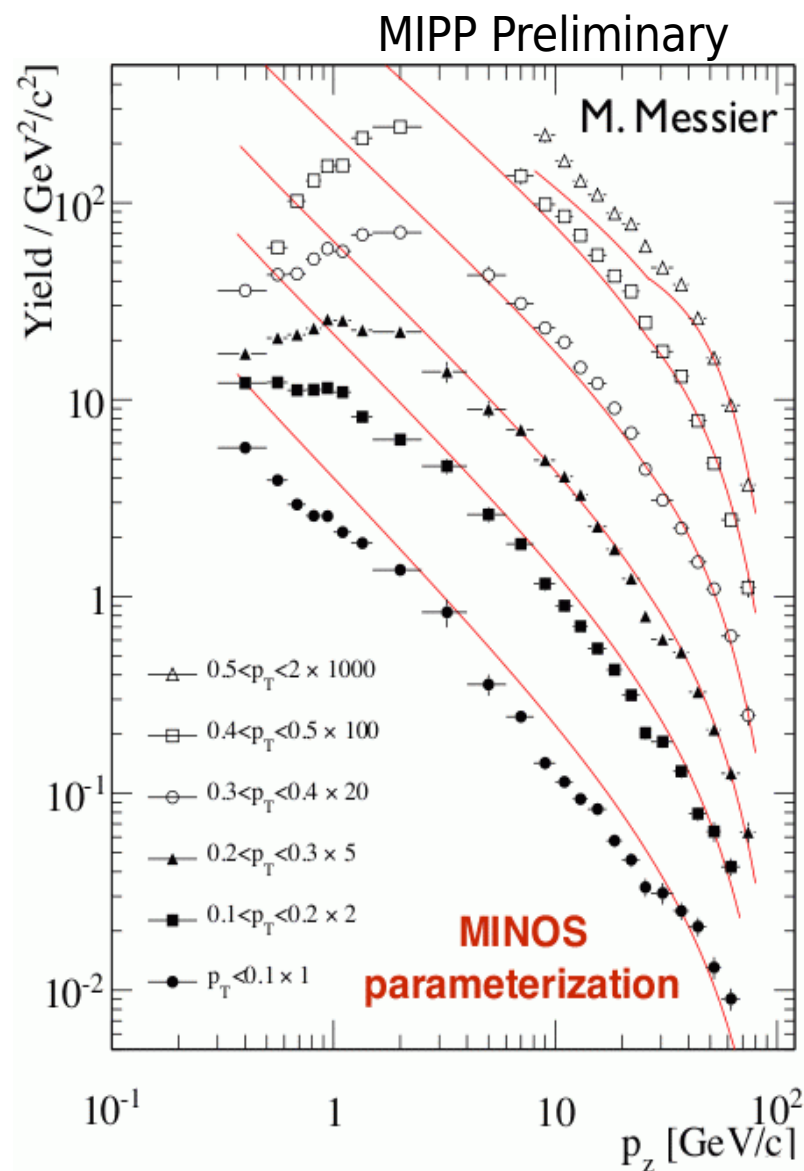
$$\frac{d^2 N}{dp_z dp_T} = p_{inc} (A + B p_T) e^{-C p_T^{3/2}}$$

$$A = a_1 (1 - x)^{a_2} (1 + a_3 x) x^{-a_4}$$

$$B = b_1 (1 - x)^{b_2} (1 + b_3 x) x^{-b_4}$$

$$C = -c_1/x^c + c_3 \text{ for } x < 0.22$$

$$C = c_1/e^{(x+c_2)c_3+c_4x+c_5} \text{ for } x > 0.22$$



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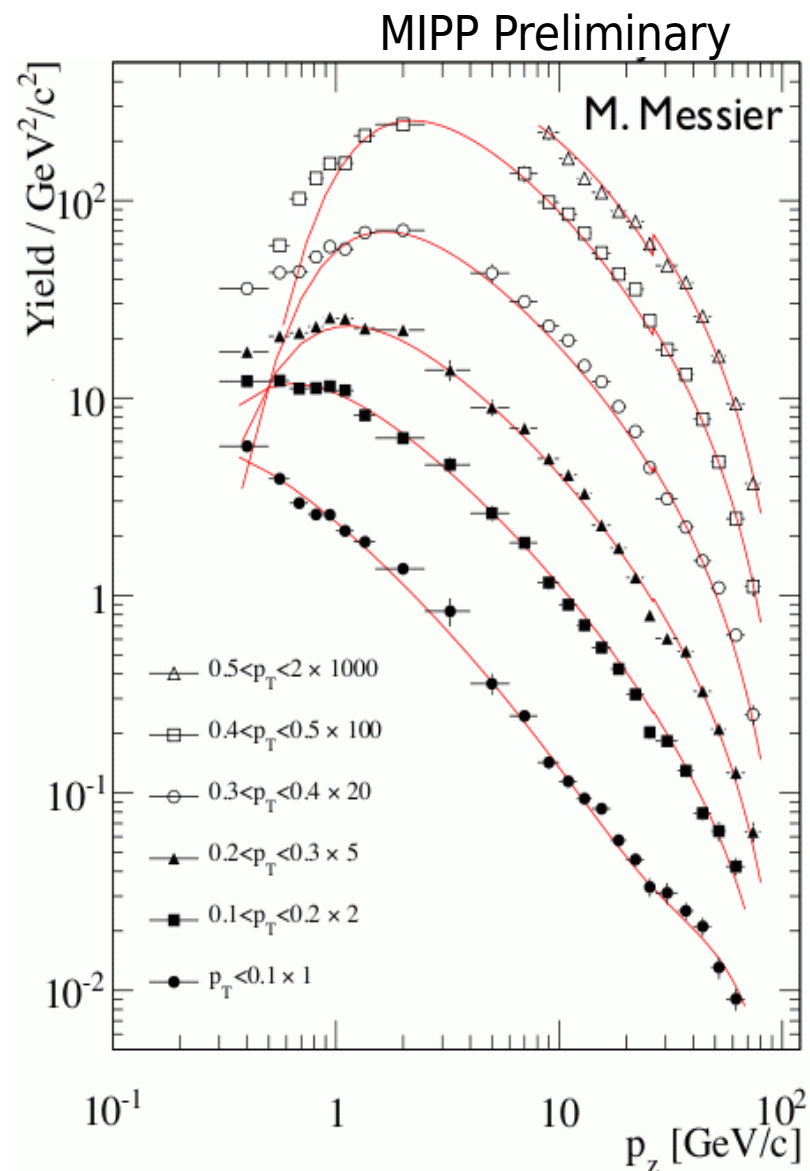
$$\frac{d^2 N}{dp_z dp_T} = p_{inc} (A + B p_T) e^{-C p_T^{3/2}}$$

$$A = a_1 (1 - x)^{a_2} (1 + a_3 x) x^{-a_4}$$

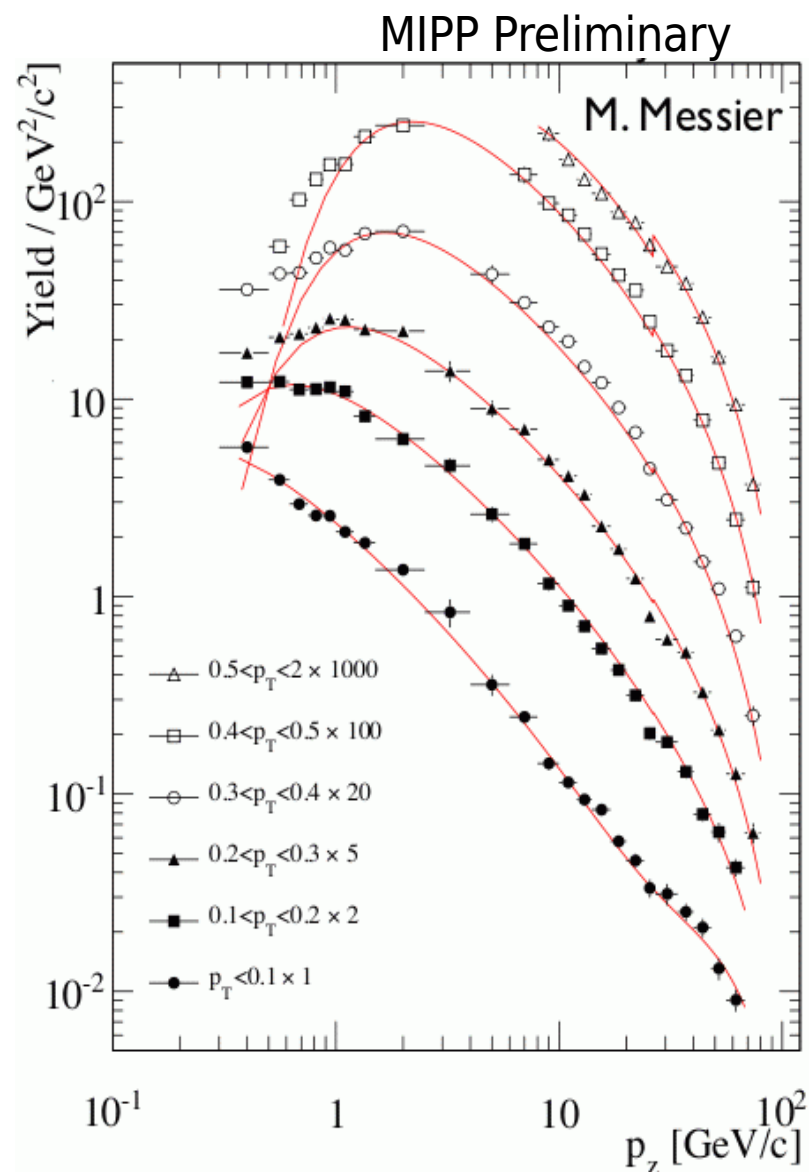
$$B = b_1 (1 - x)^{b_2} (1 + b_3 x) x^{-b_4}$$

$$C = -c_1/x^c + c_3 \text{ for } x < 0.22$$

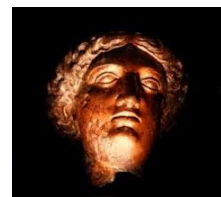
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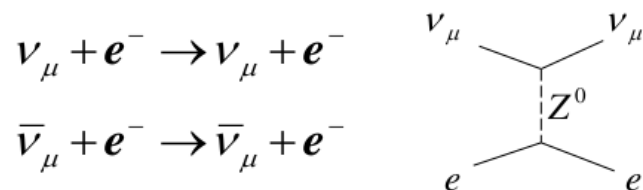
- $1.43 \times 10^6$  protons at 120 GeV on an actual NuMI target
- Measurement of the  $\pi^\pm$  yield in  $\sim 125$  bins of  $(p_z, p_T)$  across 2 orders of magnitude in momentum
- For PID TPC ( $dE/dx$ ) and RICH have been used
- Combined statistical and systematic errors are  $< 10\%$  in nearly all bins
- Data imply that MCs tend to over-estimate pion yields at higher momenta
- Preliminary fits of empirical function used by NuMI experiments to parametrize hadron production
- These data may be used to re-weight MC predictions of the NuMI flux and reduce the overall systematic uncertainty



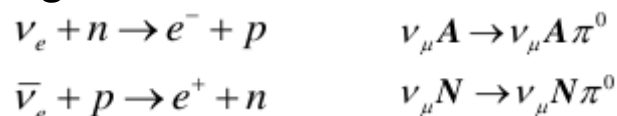
# Direct measurement of $\nu$ flux with $\nu e \rightarrow \nu e$



- Well known reaction



- Very small cross section ( $\sim 1/2000$  of  $\nu$ -nucleon scattering)
- Very forward electron final state
- Background reactions



- $\nu e$  events (LE) after all corrections:

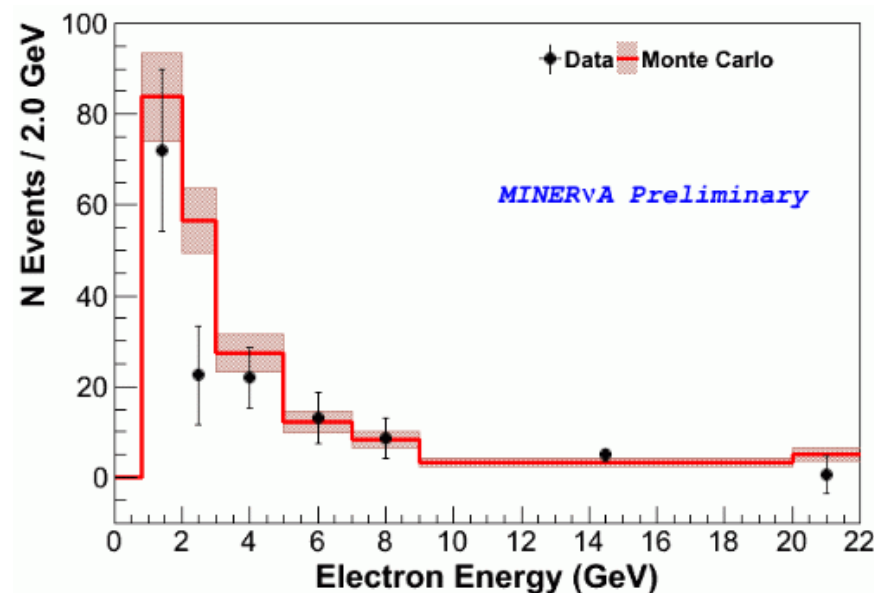
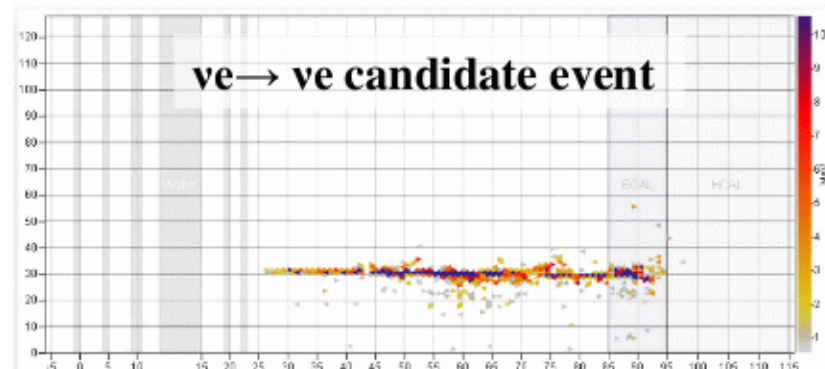
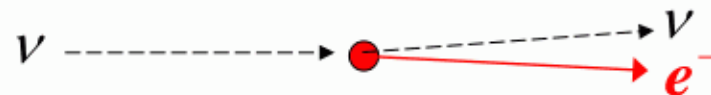
◆  $123.8 \pm 17.0(\text{stat}) \pm 9.1(\text{syst})$

- Prediction from simulation:

◆  $147.5 \pm 22.9(\text{flux})$

- In both cases precision is  $\sim 15\%$
- Similar signal/background rate for ME as for LE
  - ◆ Expected stat. error  $\sim 2\%$
  - ◆ Syst. error  $7\% \rightarrow 5\%$

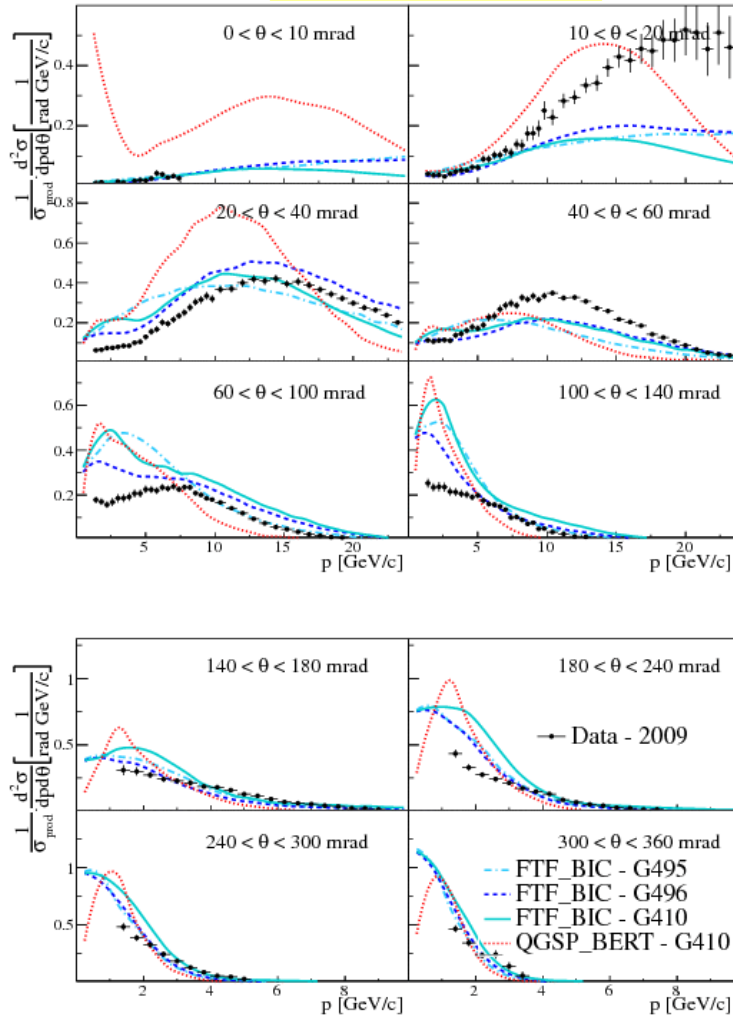
Very forward single electron final state



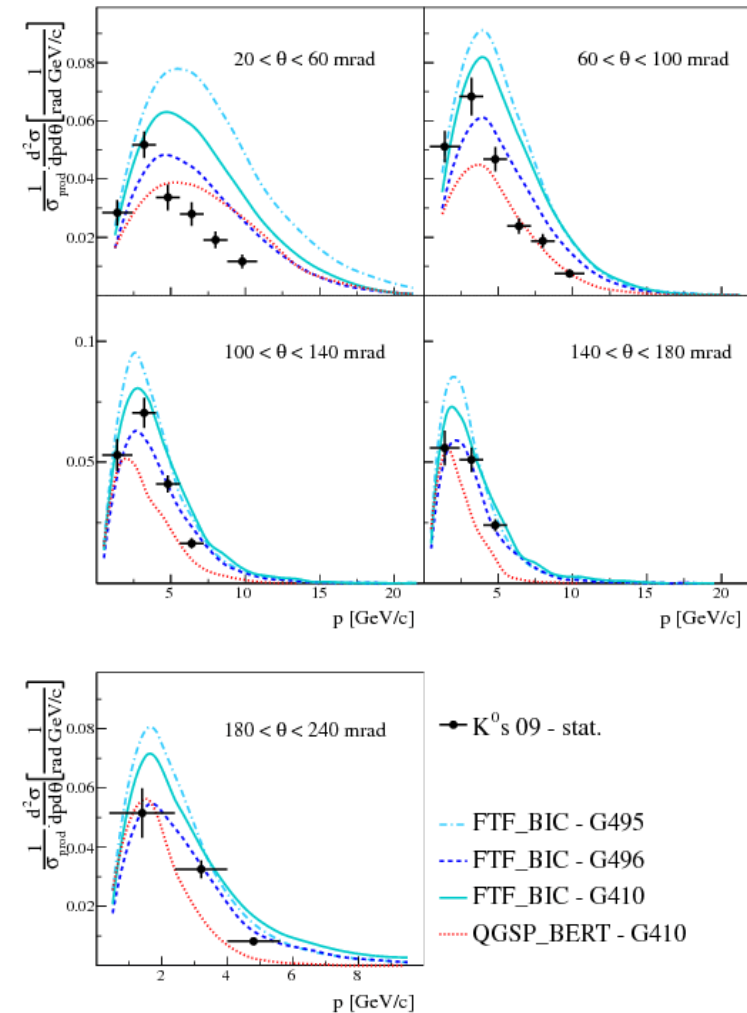
# New NA61 measurements for T2K (pC@31GeV/c)



$p + C \rightarrow p + X$



$p + C \rightarrow K^0_s + X$



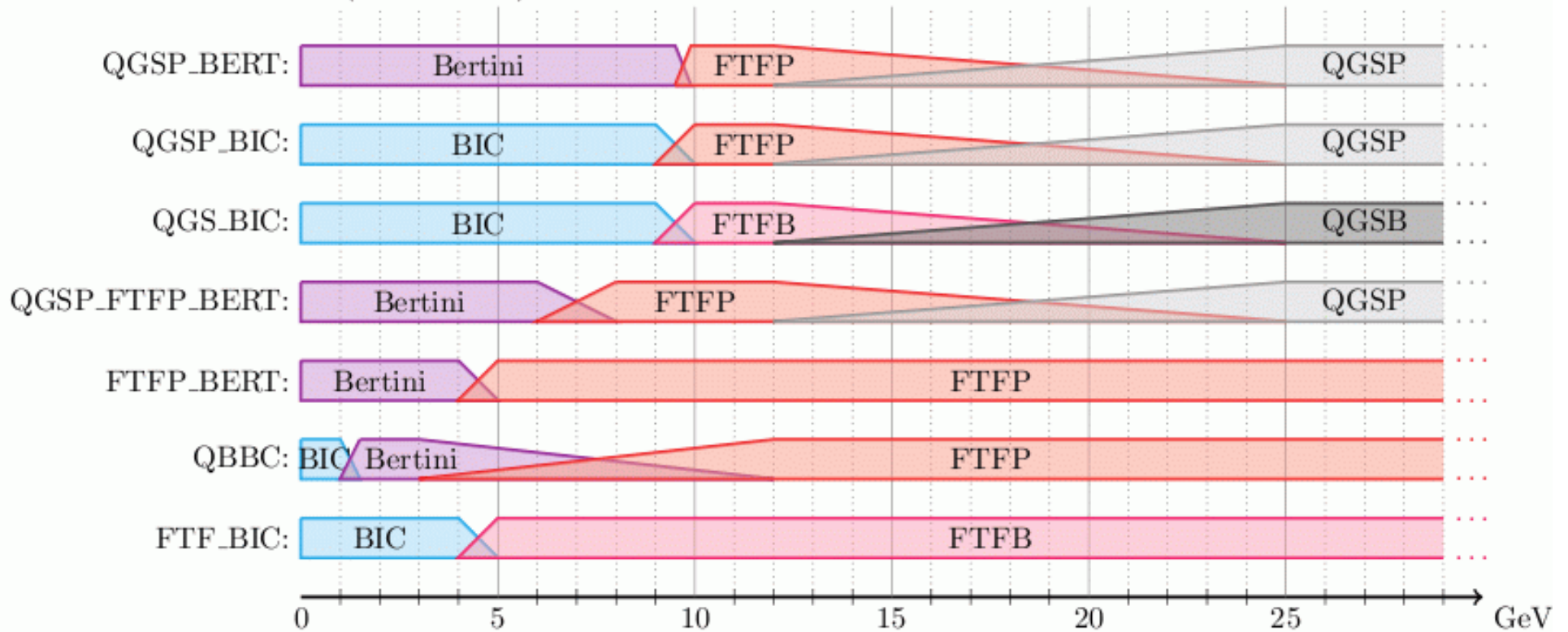
- New NA61 results based on [data 2009](#). Precision improved by a factor 2-3 as compared to the pilot data 2007 (used so far by T2K)
- None of models can reasonably describe proton's spectra
- Recent versions of FTF\_BIC describe K0S spectra reasonably



# Update on physics lists

Composition of physics lists for proton interaction as a function of the energy

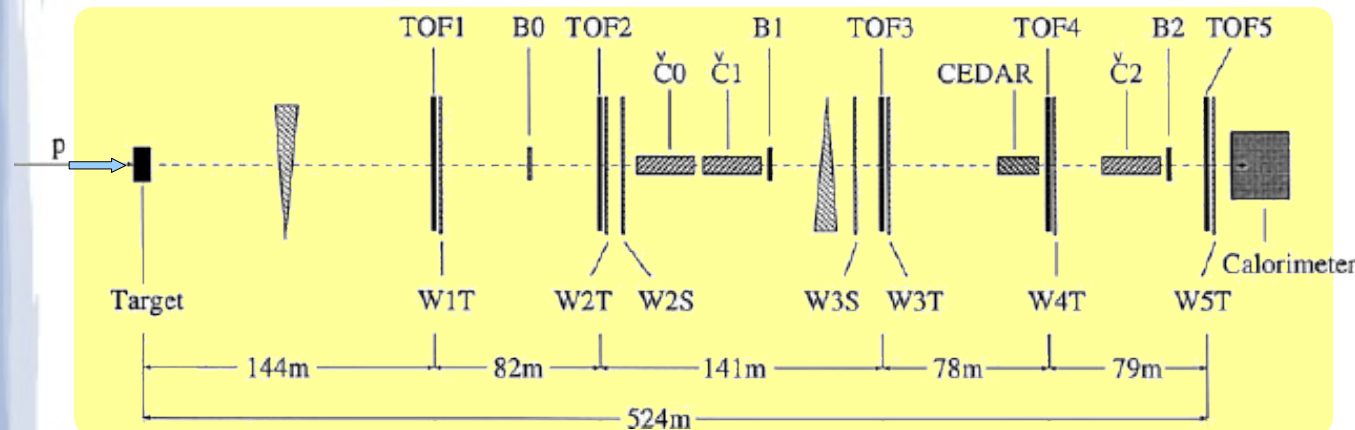
Now with **VMC 2.15 (Geant4.10)**



- **BIC**: Binary Cascade Model
- **Bertini**: Bertini Model
- **FTF**: Fritiof Model
- **QGS**: Quark Gluon String
- ~~LHEP~~: Low and High Energy Parametrized - **REMOVED**
- **P**: Precoupound
- **B**: BIC

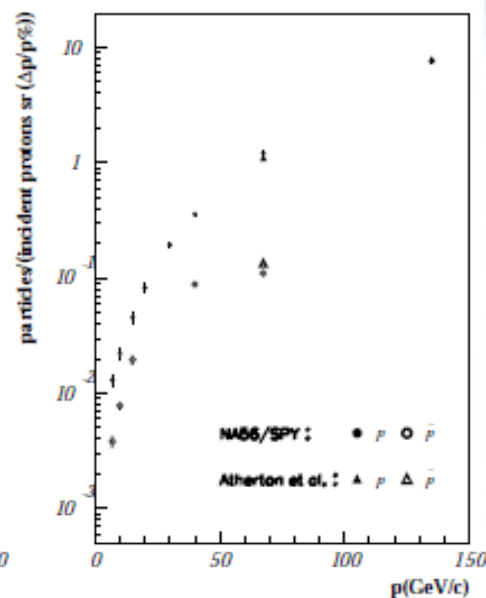
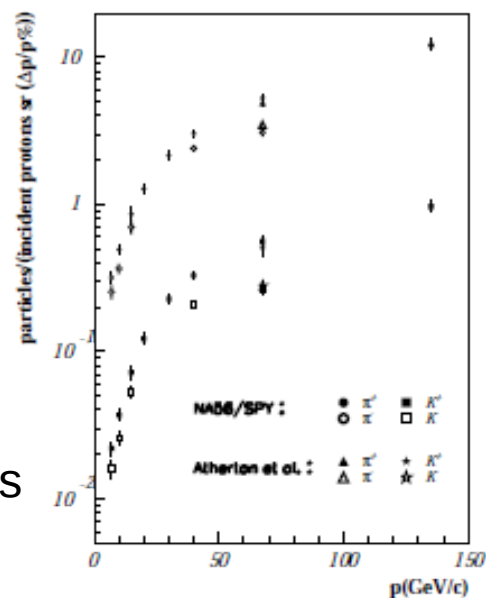


# NA56/SPY, Secondary Particle Yields



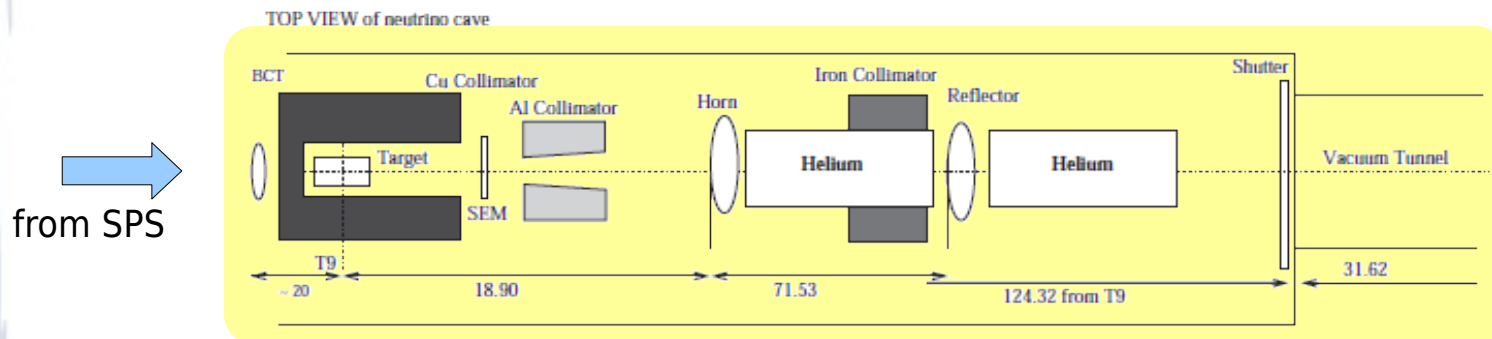
- Goal: understanding and planing of  $\nu$  oscillation experiments
- CERN-SPSLC/96-01
- H6 beamline of CERN SPS
- PID by TOF1-5, Cherenkov counters C0-C2 + CEDAR and Hadron Calorimeter

- 450 GeV/c protons interact with Be target
- Production angle up to 30 mrad
- Yields of  $\pi^\pm$ ,  $K^\pm$ ,  $p$  and  $\bar{p}$  have been studied
- Secondary momentum range 7-135 GeV/c ( $0.02 < x_F < 0.3$ ) and  $p_T < 600$  MeV/c
- Experimental accuracy on yields 5-10%, for production ratios 3%
- Dependence of yields on the target thickness and shape have been studied
- Complementary to NA20 (Atherton et al.) measurements at 400 GeV/c and  $0.15 < x_F < 0.75$



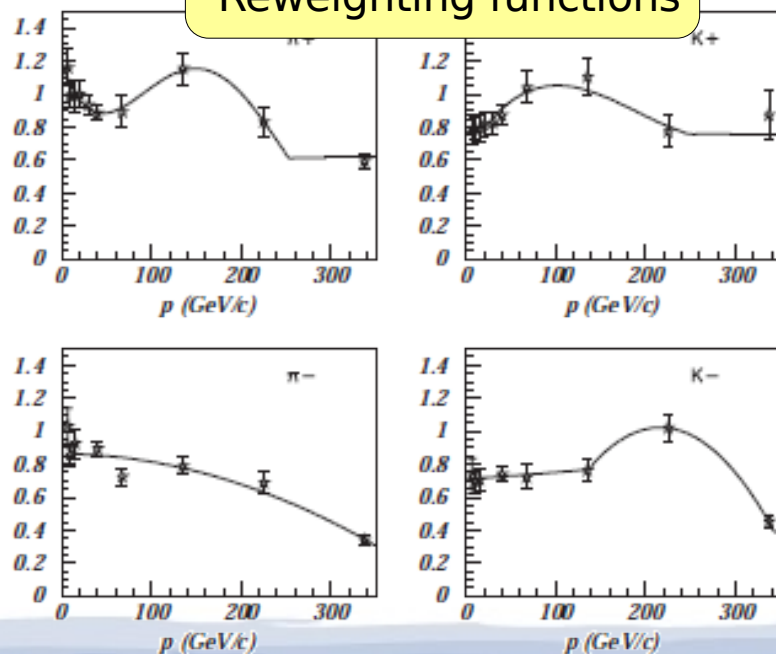
G.Ambrosini et al., Eur.Phys.J.C10(1999)605;  
Phys.Lett.B420(1998)225

# SPY data in the NOMAD/WANF experiment

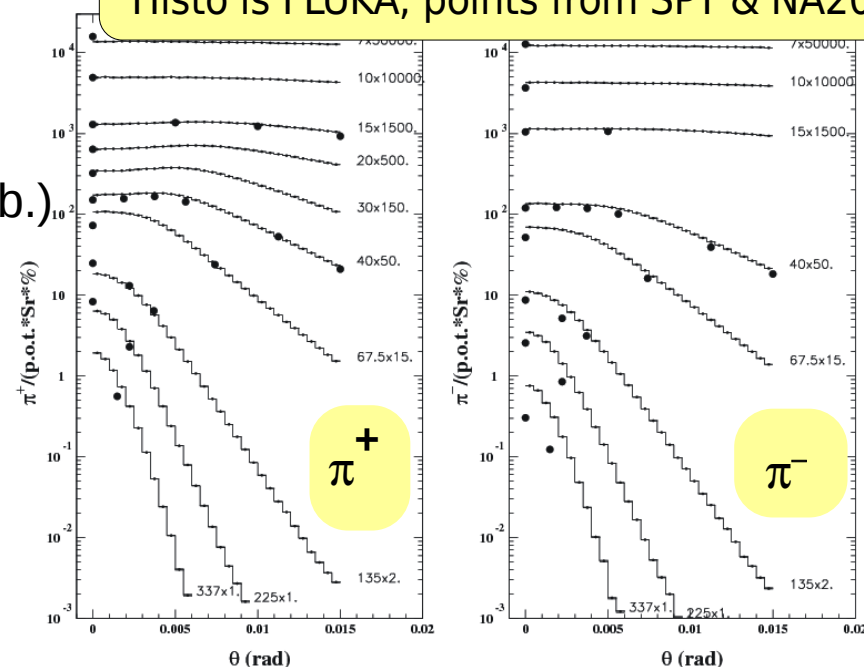


- FLUKA 2000 was used to calculate rates
- Rates were modified to account for cross-section measured by SPY and NA20
- Weight = Data/FLUKA for bin of  $p$  (and  $\theta$  if posib.)

## Reweighting functions



Histo is FLUKA, points from SPY & NA20



- Overall flux uncertainty 8% for  $\nu_\mu$  and  $\nu_e$ ,  
10% for  $\nu_\mu^-$  and 12% for  $\nu_e^-$

(NOMAD) P.Astier et al., NIM A515(2003)800  
G.Collazuol et al., NIM A449(2000)609

# Ratios of charged hadrons from MIPP

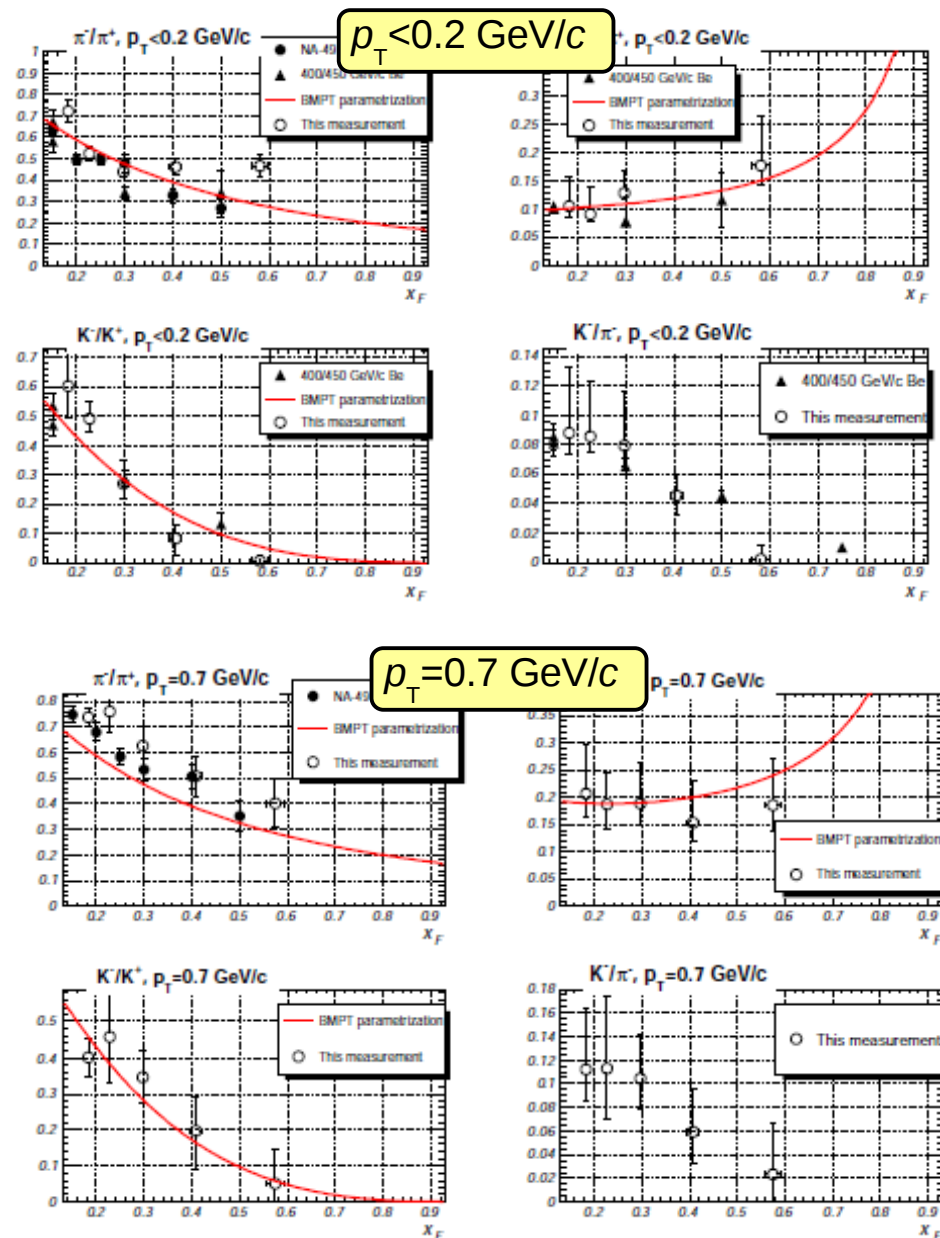
- Measurements for MINOS/ 120 GeV/c
  - Thin carbon target
  - NuMI replica target
- Preliminary results for ratios:

$$\pi^-/\pi^+, K^+/\pi^+, K^-/K^+ \text{ and } K^-/\pi^-$$

*H.Meyer, Nucl.Phys.B187(2009)197*

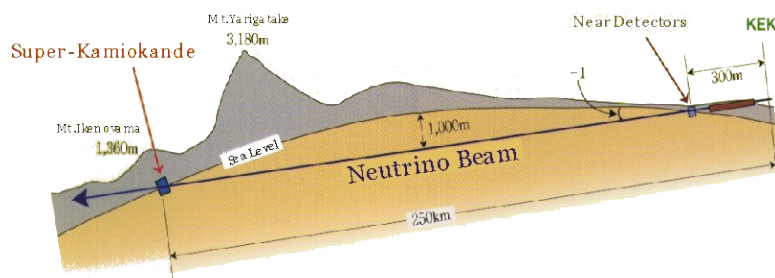
- The only experiment nearby in phase space is NA49 (thin target, 158 GeV/c beam)
- Reasonable agreement of MIPP with NA49 and the MINOS spectrum fit has been found for  $p_{\text{sec}} < 40 \text{ GeV/c}$
- BMPT parametrization (400 GeV/c protons on Be target) fits well the data

- New results: *Forward neutron production in MIPP*, published in *Phys.Rev.D83(2011)012002*



*A.Lebedev, Ph.D. Thesis, Harvard U. (2007)*

# HARP data in the K2K experiment



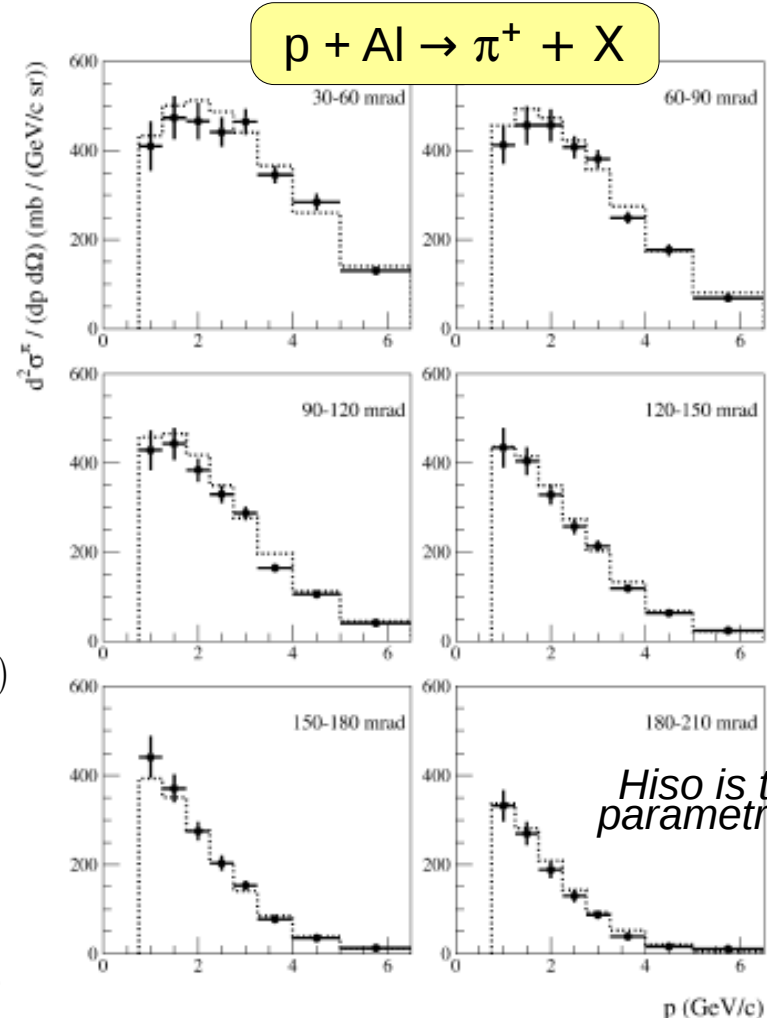
- K2K measured  $\nu_\mu$  disappearance for  $\theta_{23}$ ,  $\Delta m_{23}^2$
- $\nu_\mu$  beam was produced by 12.9 GeV/c protons scattered off the Aluminum target

- Measurement of  $d^2\sigma^{\pi^+}/dpd\Omega$
- Al target of 5% nuclear interaction length
- 0.21 M reconstructed secondary tracks in the forward spectrometer were used
- Overall scale error was ~6% and point-to-point error ~8.2%
- Sanford-Wang parametr. applied ( $\chi^2/\text{ndf}=305/41$ )

$$\frac{d^2}{dp d\Omega}(p, \theta) = c_1 p^{c_2} \left(1 - \frac{p}{p_{\text{beam}}}\right) \exp\left[-c_3 \frac{p^{c_4}}{p_{\text{beam}}^{c_5}} - c_6 \theta (p - c_7 p_{\text{beam}} \cos^8 \theta)\right]$$

- Using HARP results the  $\nu$  flux uncertainty to the far-to-near ratio in K2K was reduced by about factor 2: 5.1%  $\rightarrow$  2.9%

(K2K coll.) M.H.Ahn et al., Phys.Rev.D74(2006)072003

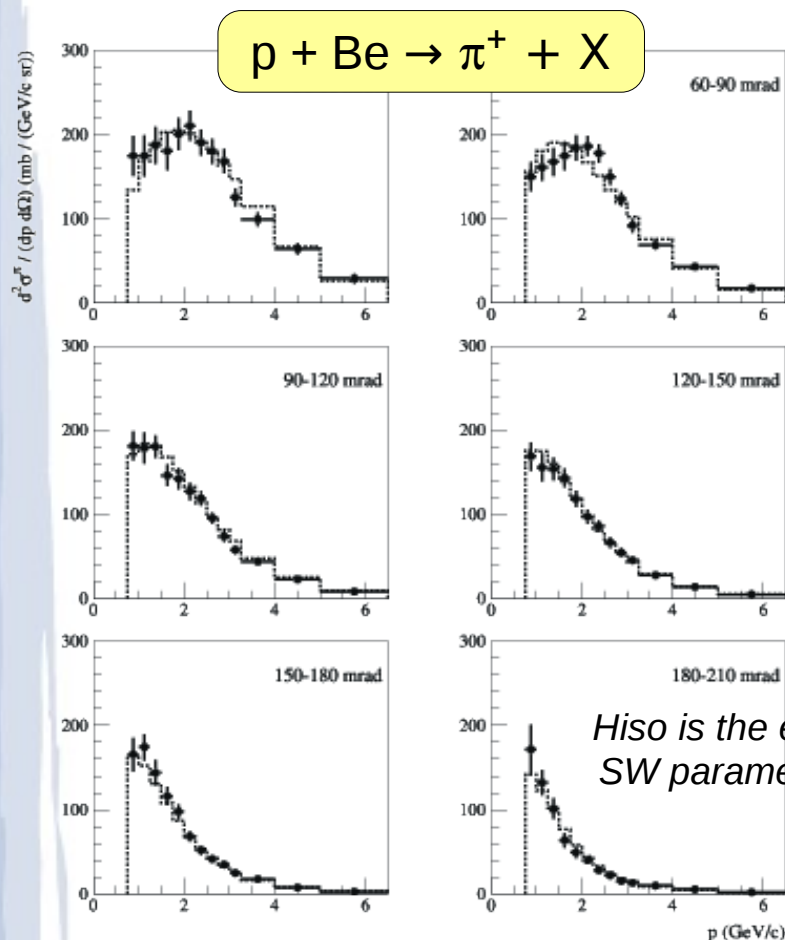
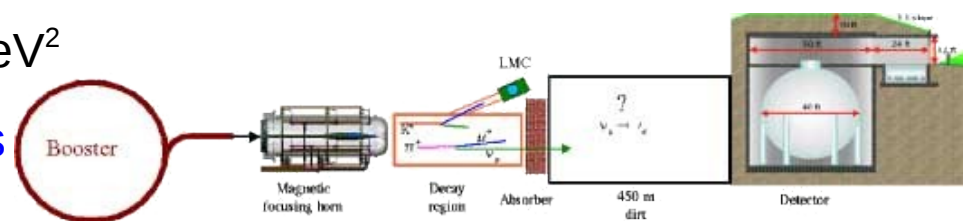


*Hiso is the SW parametrization*



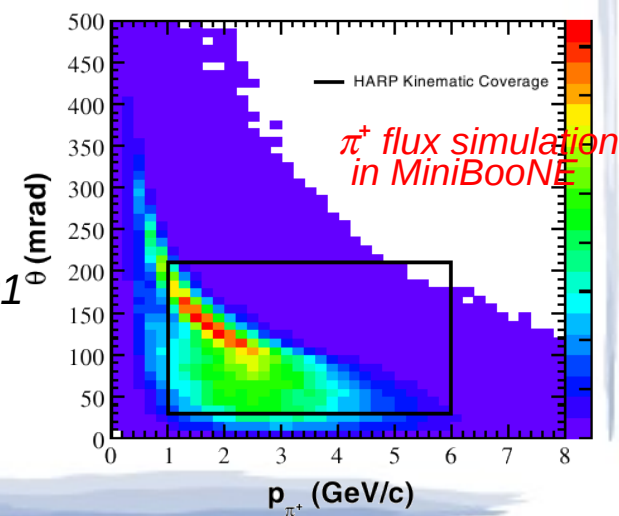
# HARP data in the MiniBooNE experiment

- MiniBooNE studied  $\nu_e$  appearance at  $\Delta m^2 \sim 1 \text{ eV}^2$
- $\nu_\mu$  beam was produced by 8.9 GeV/c protons incident on a Be target 71 cm long



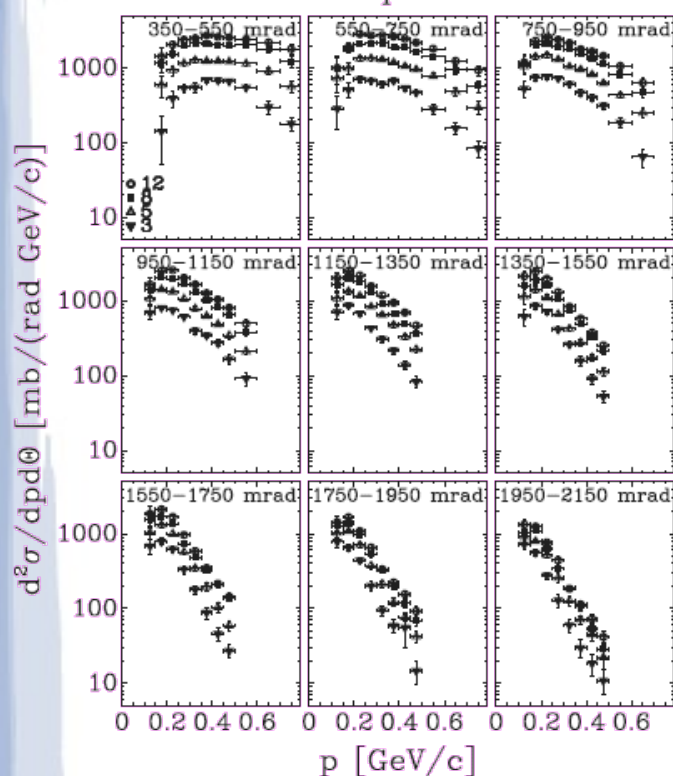
- Measurement of  $d^2\sigma^{\pi^+}/dpd\Omega$
- Be target of 5% nuclear interaction length
- 96 k reconstructed secondary tracks in the forward spectrometer were used
- Overall scale uncertainty was  $\sim 4.9\%$  and point-to-point error  $\sim 9.8\%$  (stat.+syst.)
- Sanford-Wang parametr. applied ( $\chi^2/\text{ndf}=117/70$ )
- HARP results agree well with rescaled ones of BNL-E910 (6.4 & 12.3 GeV/c). Both were used to tune BNB beam MC

Phys.Rev.Lett.98(2007)231801  
Phys.Rev.D79(2009)072002

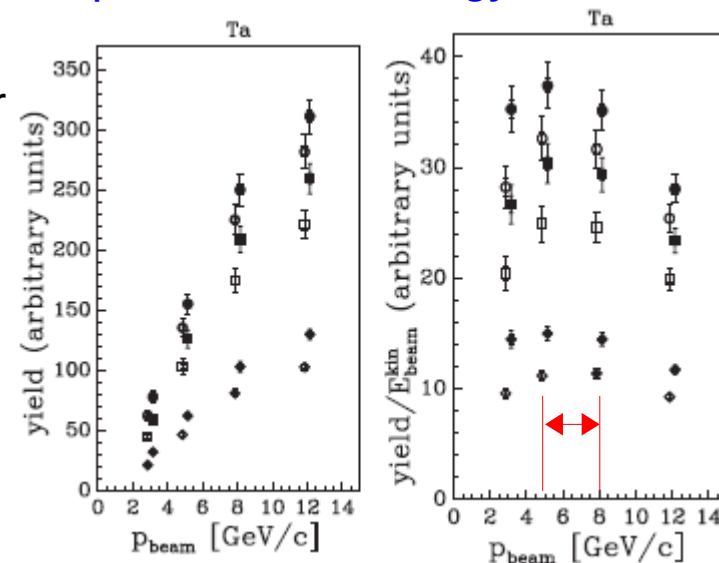


# HARP data & Neutrino Factory

HARP p-Ta  $\pi^+$



- $\pi^\pm$  production in proton-(Be,C,Al,Cu,Sn,Ta,Pb) collision  $3 < p_{\text{beam}} < 12.9$  GeV/c: *Phys.Rev.C77(2008)055207*
- Goal: determination of the optimal beam energy for the proton driver
- Simplified evaluation for the tantalum target
- Different symbols correspond to different  $\theta$  integration range
- The optimum yield is between 5 and 8 GeV/c



*J.Strait et al., Phys.Rev.Spec.13(2010)111001*

- Double-differential cross sections were weighted by the acceptance of the front-end channel and integrated over the phase space of HARP
- Beam energy giving the largest muon yield at constant beam power is  $\sim 7$  GeV
- No significant dependence on whether HARP or HARP-CDP input was used

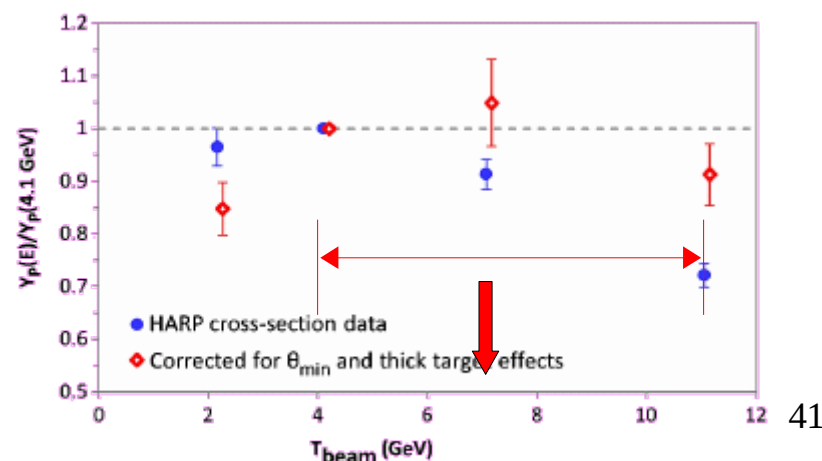


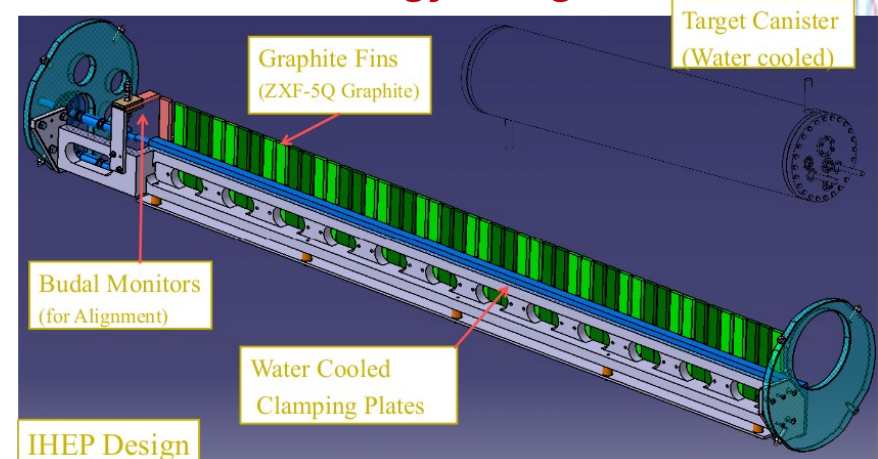
FIG. 9. Beam-power normalized muon yield at the end of the NF/MC front-end channel, relative to that at  $T_{\text{beam}} = 4.1$  GeV.



## Measurements for the NuMI target

- The goal is similar to the one for T2K (cross section + replica target)
- LBNE, MINOS(+), NOvA, MINERvA
- US group has been approved for limited membership at the beginning of 2012. Full members in 2014
- 22 physicists from 8 US institutions
- Pilot run in summer 2012
  - ◆ 120 GeV/c proton beam + C target
  - ◆ Non-standard magnet configuration
  - ◆ 3.5 millions triggers recorded
  - ◆ Calibration is in progress
- DOE proposal to be submitted
  - ◆ Upgrade of electronics (Pittsburgh)
  - ◆ Forward tracking (Colorado)
  - ◆ Request for 3-4 weeks of beam time (60, 90, 120 GeV/c, 3-4 targets)

### Medium Energy Target for NOvA



### NuMI target

